1.1. A.7 Inmate Identification Procedures

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II Policy:

The South Dakota Department of Corrections (DOC) will utilize approved processes to verify an inmate’s identity. DOC staff will assist inmates who require identification documents in acquiring such documents, including assisting with applying for duplicate identification documents and renewing valid state issued identification cards and driver licenses.

III Definitions:

**Alien Registration Number (ARN):**
An ARN is a case number, much like a Social Security number, that the United States Citizen and Immigration Services (USCIS) assigns to people who are:
- Applying for adjustment of status; or
- Applying for an employment authorization document (EAD); or
- When someone finds themselves in deportation proceedings.

**Authorized DOC Official:**
A staff member located with the DOC Records office assigned to complete certain duties associated with the identification process. Must be approved by the Secretary of Corrections (See Attachment 3).

**Citizenship Documents:**
U.S. birth certificate, U.S. consular report of birth, U.S. passport or certificate of citizenship or naturalization.

**Driver license:**
A document issued by a state or jurisdiction to an individual that authorizes the individual to drive motor vehicle types authorized by the license on public roadways.

**Immigration and Customs Enforcement (ICE) Holds/Detainers:**
Often referred to as immigration holds. Holds are used to detain undocumented immigrants or immigrants who have been convicted of certain felonies in this country, pending transfer of custody to immigration officials.
Identification Documents (ID):
Social Security card, U.S driver’s license (current, not expired), state-issued identification, U.S. passport, tribal membership card, U.S. military identification, health insurance card, Medicaid card, government employee identification, certificate of citizenship or naturalization or other approved document.

Immigration and Customs Enforcement (ICE):
The investigative division of the United States Homeland Security office responsible for identifying and investigating citizenship status of individuals.

Legal File:
Such files are typically maintained by the Central Records office for inmates currently in DOC custody. May contain the following: sentencing documents, criminal history, date calculation worksheets, audit log, pre-sentence investigation (PSI), psychosexual evaluations, hold/detainer notification requests, finger print/palm print cards, physical descriptions, parole documents, birth certificates, social security cards, driver’s license and other legal documents or information.

Memorandum of Understanding (MOU):
A legal document describing an agreement between the South Dakota Department of Corrections (DOC) and the Social Security Administration (SSA). It expresses a convergence of will between the two parties indicating an intended common line of action as it relates to application for duplicate inmate Social Security cards and the responsibilities and duties of each party pertinent to the described process.

NCIC III:
National Crime Information Center (NCIC) III is a computerized criminal history tracking system that provides for the decentralized interstate exchange of criminal history record information and functions as part of the Integrated Automated Fingerprint Identification System (IAFIS). The NCIC III provides a means of conducting national criminal history record searches for criminal justice and other purposes as specified by existing local, state, and/or federal laws. Each criminal history record is supported by a criminal fingerprint submission.

Working Day:
A standard work week day, Monday through Friday, except for recognized state holidays, recognized national holidays and any other special holidays declared by the Governor of South Dakota or the President of the United States.

IV Procedures:

1. Staff Responsibilities:

   A. Unit staff or transitional case managers will interview inmates prior to the inmate being approved for work release, employment with private sector prison industry, Community Transition Program (CTP), extension of confinement or release/discharge from custody to determine if the inmate has access to their Social Security card, original or certified copy of their birth certificate and a valid, not expired state driver license or state ID.

   1. Central Records staff may be contacted to verify the existence of such documents in the inmate’s legal file (See DOC policy 1.1.E.6 Case Management).
2. The status of each document will be documented in the personal information section (under "Booking") in the Comprehensive Offender Management System (COMS).

B. Inmates who do not have access to their Social Security card, birth certificate or certified copy of their birth certificate are encouraged to complete an application to request these documents.

2. **Criteria to Determine an Inmate’s Authenticity of Identity:**

A. Tasks to be completed by admissions and orientation (A&O) staff include the following (See DOC policy 1.4.A.2 *Inmate Admission*):

1. Staff will verify the inmate’s date of birth and social security number with existing records. Staff will determine if the inmate was admitted with a social security card, birth certificate, valid (not expired) driver license, or if a copy of any or all of these documents is available. If no such documents exist, staff will ask the inmate if they have reasonable access to each of these ID documents.

   a. If the inmate was admitted with a social security card, valid driver license, state ID, birth certificate, these documents or copies of such will be collected and forwarded to Central Records for placement in the inmate’s legal file. Copies of the documents may be retained at the facility, as deemed necessary. Unit staff may retain the inmate’s driver license, Social Security card or state ID if the inmate requires this for work/employment purposes.

   b. Inmates may request to have their social security card, valid driver's license, state ID, birth certificate or copies of these documents, mailed to the facility through the inmate correspondence system.

      1) Mailroom staff will notify the inmate and/or the inmate’s unit staff of the receipt of any identification documents or copies of such documents that are received by the mailroom (See DOC policy 1.5.D.3 *Inmate Correspondence*). The documents shall be placed in the inmate’s legal file or retained by the inmate’s unit staff and placed in secure storage if access to the documents is required.

   c. If an inmate is received without a social security card, birth certificate or valid driver license or state ID and does not have access to these documents or chooses not to request these be sent into the facility from the outside, unit staff are required to document in the Personal Information section (under Booking) in the Comprehensive Offender Management System (COMS) that the inmate does not possess these documents. Staff will update this section upon the receipt of any identification documents (duplicates or original), including renewal of the inmate’s driver license or state ID.

      Example: “Inmate states they have a social security card, and this is at their residence” or “Inmate has a valid driver license in his/her legal file or unit file which expires on 00/00/0000” or “Inmate has a birth certificate and has requested this be sent to the facility by his/her spouse on this date 00/00/0000”.

2. Inmates will have their photo taken, including photos of any scars, identifying marks or tattoos, in accordance with DOC policy 1.4.A.1 *Inmate Photo Identification* and DOC policy 1.4.A.2 *Inmate Admission*.

3. All inmates will be finger printed when the Transaction Key check box is checked on the Key Date screen and a record created in COMS.
a. Staff will compare the inmate’s physical characteristics against existing photos, fingerprint records, physical description, assigned ID numbers and demographics, e.g., sex, race, height, weight, eye color, hair color, with existing Federal Bureau of Investigation (FBI), SD Division of Criminal Investigation (DCI) and National Crime Information Center (NCIC) records as part of the process to determine and verify an inmate’s identity.

B. Tasks to be completed by the authorized DOC official at the time of an inmate’s admission include:

1. New admission inmates will have a NCIC III completed based on their legal name (name on sentencing paperwork) and date of birth (See DOC policy 1.1.E.5 Inmate Criminal History).

     a. Each time background check is completed, Central Records staff will compare the data received to existing data to identify any inconsistencies or new information that is revealed in the report. The Authorized DOC official will verify existing information contained within the inmate’s record/file is correct and consistent with the information received.

     Note: The information obtained from NCIC III consists of: name, date of birth, state ID, alias’s, place of birth, gender, race, height, weight, eye color, hair color, scars, marks or tattoos or other distinguishing marks/features, FBI # (if applicable), social security number and any other additional date(s) of birth, Social Security number(s) and/or alias associated with the inmate. All information received shall be compared to existing records to establish and/or verify the inmate’s identity.

2. A New Inmate Interview Questionnaire (See Attachment 1) will be completed for each inmate by the authorized DOC official at the time of admission. At the South Dakota Women’s Prison, intake staff will complete the questionnaire.

     a. The authorized DOC official (SDSP) or the intake staff person (SDWP), will conduct a face-to-face interview with all new admission inmates and complete the New Inmate Interview Questionnaire (See Attachment 1).

3. Review the inmate’s pre-sentence investigation (PSI), if one was completed. Staff will compare the information in the PSI to existing records and information to establish or verify the inmate’s identity.

4. Review the judgment papers to confirm the inmate’s name, date of birth and any aliases used by or associated with the inmate.

5. Review of any official government issued documents which establish or identify the inmate’s identity.

6. Review of any prior juvenile or adult SD DOC records. Such records shall be used to compare the inmate’s identity at the time of incarceration/adjudication to current records establishing identity.

C. To determine positive identification of an inmate, all sources of information and identification documentation obtained throughout the admission process will be reviewed and compared by the authorized DOC official or intake staff person (contextual identification). If, based on comparative analysis, the staff person determines significant documentation and information
exists that supports the inmate is positively identified, as supported by consistency in data, information and records, the inmate will be recorded as positively “identified”. If an inmate’s correct identification cannot be verified (i.e. multiple social security numbers, multiple names/aliases, multiple dates of birth or a lack of verifiable information, records or documents), the inmate will be recorded as “unidentified”.

1. This information will be recorded on the “Alias and Other Identifiers” tab within COMS.

D. If the Authorized DOC official determines an inmate is not a U.S. citizen, the following procedures shall be initiated:

1. An ICE Hold Inquiry (See Attachment 2) will be completed and filed.
   a. A copy of the completed ICE Hold Inquiry will be placed in the inmate’s legal file and a notation included in COMS.

   Note: The process of determining an inmate is not a U.S. citizen may include interviews by Immigration and Customs Enforcement (ICE) officials, or staff review of information or determination received by an authorized governmental authority.

2. Once the inmate’s immigration status has been determined by ICE, the Alien Registration Number (ARN) may be entered in the Personal Information Screen in COMS. If ICE issues a hold/detainer, this will be recorded on the Holds/Warrants/Detainer Screen in COMS.

3. If a hold/detainer is initiated, a copy of the paperwork will be placed in the inmate’s legal file. The inmate may be notified of the hold/detainer.

4. After an inmate’s citizenship status has been verified, this will be noted on the Personal Information Screen in COMS.
   a. Y = Yes, they are an alien.
   b. N = No they are not an alien, thus a U.S. Citizen.

E. Any time an inmate’s identity cannot be verified, and the inmate is seeking a duplicate Social Security card, authorized DOC officials will follow the guidelines prescribed in the Application for a Social Security Card Outside the Provisions of the MOU to assist the inmate in obtaining a duplicate Social Security card.

3. Application for a Birth Certificate (U.S. Citizens):

A. Admissions and Orientation (A&O) unit staff will review all inmates received to determine if the inmate has an original or certified copy of their birth certificate, or access to the certificate. The results shall be documented in the Personal Information section (under Booking) in COMS. Staff will assist any inmate who requests assistance in obtaining a certified copy of their birth certificate (See DOC policy 1.4.G.2 Inmate Release Procedure).

B. Application for South Dakota birth certificates:

1. A&O unit staff will assist the inmate in completing the South Dakota Application for Birth Record (See Attachment 9).
   a. The application will be forwarded to Central Records for submission to SD Vital
Statistics.

2. Vital Statistics will submit a statement for payment to the DOC.

C. Application for non-South Dakota birth record/certificate:

1. Unit staff will assist the inmate in obtaining the required information from the state where the inmate was born.

2. The application and procedures can be obtained at the following website: www.usbirthcertificate.com. All out of state birth certificate applications will include the Birth Certificate Application Cover Letter (See Attachment 8).

3. Unit staff will assist the inmate in completing the required paperwork to request a certified copy of the inmate’s birth record/certificate, including locating the address where the application must be sent and ensuring funds are included with the application to cover any fees that may apply.

4. Staff will complete the following procedures to obtain payment for any fees that apply:

   a. A request for a check issued from the inmate’s account will be sent to the applicable institution’s business office along with a stamped envelope. The request should include the following:
      1) Inmate’s name;
      2) Inmate’s number;
      3) Amount of the check and;
      4) Payee of the check.

      Note: A check is issued from the birth certificate group account. This account is reimbursed on a monthly basis from Parole Services. Inmates are not responsible for accrued costs to obtain a certified copy of their birth record/certificate.

   b. The following documents will be mailed to the appropriate state’s Vital Records office:
      1) Birth Certificate Application Cover Letter, with the inmate’s signature (See Attachment 8);
      2) Application for a certified copy of a birth record/birth certificate from that state;
      3) A photo of the inmate (if required);
      4) A copy of the authorized DOC official’s ID badge (both front and back-if required) and;
      5) The check.

4. Application and Renewal of a South Dakota State ID or Driver License:

A. The DOC will be provided a monthly list by the Department of Public Safety (DPS) identifying those inmates and parolees currently issued a South Dakota driver license or State ID. The list is available at M:\DOC\Offenders Driver’s Licensing. All inmates with a possible release date within 24 months shall have their record in the “Personal Information Screen” (OIDPINFO) in COMS reviewed by unit staff to determine if the inmate has an active driver license or identification card.

B. The list shows the status of an inmate’s driver license (DL) or state identification card (ID) and whether the inmate may renew the DL or ID or request a duplicate through the paper application process. Those who have not met the federal requirements of verifying their
identity with the SD Driver Licensing office may not renew or request a duplicate DL or ID while in DOC custody.

C. If the inmate does not have a valid DL or ID in their institutional file or documented in COMS, the following steps will be taken:

1. Unit staff will determine whether the inmate is eligible to apply for a DL or ID through the mail by accessing the driver’s license file at M:/DOC/Offenders Driver’s Licensing.
   a. If the inmate is NOT eligible for a DL or ID, this information must be documented in COMS on the Personal Information Screen (OIDPINFO) with the answer of DLNNNN (No-Offender does not have active).
   b. If the inmate is eligible for a DL or ID through the mail, the staff member will meet with the inmate to determine if the inmate has the DL or ID available and ask them to send this to DOC.
      1) When the DL or ID is received, this will be documented on the personal information (OIDPINFO) screen in COMS with either DLYY (Yes with institution) or DLY (Yes with Central Records) and placed in the institutional file.
      2) If the inmate refuses to have the DL or ID sent to the DOC, this will be documented on the personal information (OIDPINFO) screen in COMS with DLNNN (NO-Offender refuses to send in).
      3) If the inmate does not have an DL or ID but is eligible to apply, staff will determine if the inmate has a means to pay for the renewal or duplicate.
         a) If “yes”, appropriate funds will be transferred to their specialty account and then a cut check for Department of Public Safety.
         b) If “no”, (indigent or lack of sufficient funds at the time the renewal is due for processing) DOC will assume the responsibility of paying for the DL or ID.

2. Applying for a renewal or duplicate DL or ID:
   a. The inmate must complete the application.
   b. Unit staff must prepare a letter on department letterhead (See Attachment 10), as this serves as verification of the inmate’s identification.
   c. Staff must include a printed DOC discharge ID photo of the inmate from the Physical Identifiers (OIDPIDEN) screen, along with the application and letter on department letterhead.

3. After the renewal or duplicate license or ID is received, unit staff must document this on the Personal Information (OIDPINFO) screen in COMS with either DLYY (Yes with institution) or DLY (Yes with Central Records) and place the ID in the inmate’s institutional file.
   a. The fee for a duplicate SD ID or driver license is $15.
   b. The fee to renew a SD driver license or ID is $28. Checks or money orders will be made out to “Department of Public Safety” and mailed along with the South Dakota Driver License/I.D. Card Application to:

   Driver Licensing
   118 West Capitol Ave.
   Pierre, SD 57501
4. Inmates with a valid DL or ID set to expire while in DOC custody, who are not within 24 months of their release, may renew their DL or ID. The same processes described for inmates within 24 months of release shall apply.

5. Licenses may be renewed 180 days prior to expiration. There is a 30-day grace period after the license has expired to renew. If the license is expired for more than 30 days, the inmate will be required to apply for a license at the driver exam station and complete the written knowledge test.

6. Parole Services staff may access the driver license file to verify a parolee has a valid driver license or determine whether a parolee is eligible to apply for or renew a driver license.

D. Inmates currently incarcerated for a third or subsequent DWI offense, vehicular battery, vehicular homicide, hit and run with injury, or the equivalent if sentenced outside of South Dakota, are not eligible to apply for a driver license while in the custody of the DOC (See SDCL §§ 22-16-41, 32-23-4, 32-23-4.6, 32-23-4.7 and 32-34-5).

1. Any inmate prohibited by law from operating a vehicle on a public roadway (includes revoked or suspended license), is ineligible to apply for or receive a driver license while in the custody of the DOC. The inmate may apply for a state ID.

E. Inmates will normally only be approved to apply for or renew a SD class 1 driver license.

1. Testing for, or renewal of other classes of licenses, such as a commercial driver license (CDL), must be approved by the inmate’s Unit Manager. Unit staff may contact the inmate’s employer to verify the need for a CDL. The inmate shall be responsible for all additional costs and fees associated with applying for or renewing a CDL.

2. Approval to renew a driver license issued outside of South Dakota will be considered on a case by case basis by the inmate’s Unit Manager. Inmates granted permission to seek renewal of an out of state issued license must have sincere intentions of returning to the issuing state upon release or discharge from the DOC (see inmate’s release plan).

5. Institutional Employment Requirement:

A. All inmates must have a valid social security number to be paid employment wages by the DOC, Prison Industry Enhancement Certification Program, Pheasantland Industries, or public employer, including community service and work release.

B. If an inmate reports he/she does not have a valid social security number, or their number is determined to be invalid, or the inmate’s number cannot be verified, the inmate cannot be paid a wage for work performed. Extra duty hours and institutional support assigned in accordance with SDCL § 24-2-30 and DOC policy 1.5.A.1 Inmate Work Assignments and Pay are exempt from this requirement.

1. Verification of a Social Security number is typically supported by the existence of a Social Security card with the inmate’s legal name and Social Security number appearing on the card. A photocopy of the inmate’s Social Security card may be accepted for assignment to institutional work programs. Outside employers may or may not accept a copy of an inmate’s Social Security card.
C. Inmates sentenced under an alias but possessing identification documentation matching their legal name with their Social Security number, may be assigned an institutional job and paid a wage.

D. If an inmate’s name does not match the SSA’s record associated with the Social Security number submitted for the inmate, this will be noted on the Alias and Other Identifiers screen in COMS “No Work/Volunteer Only”. This will inform staff the inmate may not be paid job wages until the inmate’s legal name can be matched to the Social Security number assigned to the inmate by the SSA.

E. The DOC is required to submit an annual report containing the names and Social Security numbers of all inmates who have earned wages for IRS purposes (form 1099) to the Bureau of Finance and Management (BFM).

6. Application for a Social Security (SS) Card Outside the Provisions of the MOU:

A. If an inmate’s application for a Social Security card does not fall within the provisions of the MOU, i.e. the inmate does not have an assigned Social Security number, is not a U.S citizen, has used different/multiple Social Security numbers in the past, or the inmate is requesting a new Social Security card due to a name change, the inmate must follow the standard Social Security Administration processes and evidence requirements which direct the procedures to apply for new Social Security card. Unit staff may:

1. Provide the inmate with a Form SS-5;

2. Provide assistance to the inmate to ensure proper completion of SSA Form SS-5 and SSA-3288. Staff will review the forms for completeness.

3. Provide the inmate with instructions for contacting the proper Social Security Administration office (Huron or Sioux Falls office).

4. Provide assistance to the inmate in obtaining necessary documents and information, i.e., documents showing/verifying identity and proof of age. (Originals of these documents may be included in the inmate’s application and will be returned by the Social Security Administration to the inmate).

Note: In these situations, the address block on Form SS-5 will not reflect the institution’s name, the inmate’s Prison System Identification Number or the name of the authorized DOC official, unless the inmate also completes a Form SSA-3288, which allows the SSA to send the Social Security card to the facility.

7. Application for a Replacement Social Security Card (U.S. Citizens only):

A. If an inmate does not have direct access to their Social Security card, they may be eligible to apply for a replacement card, provided they meet one or more of the below criteria:

1. The inmate is within one-hundred and eighty (180) calendar days of eligible release, discharge or PSPI; or

2. The inmate is within one-hundred and eighty (180) calendar days of participating in work release or release to Community Transition Program (CTP) or placement at a half-way house.
B. The following inmates may NOT apply for a replacement Social Security card under the terms of the MOU:

1. Non-U.S. citizens; or

2. Inmates whose identity has not been verified or cannot be verified by an Authorized DOC official; or

3. Inmates whose records verify the inmate has used or is otherwise associated with a Social Security number(s) not officially issued to the inmate by the Social Security Administration (includes but no limited to fraud or misuse of a Social Security number), or


8. Responsibilities of Authorized DOC Officials:

A. The Central Records manager will notify the Policy and Compliance Manager when there are changes (additions or terminations) in the Authorized DOC officials. The Policy and Compliance Manager will ensure an Authorized DOC Officials Document (See Attachment 3) is completed for all new officials. The completed document will be forwarded to the Secretary of Corrections final approval and signature and then forwarded to the Social Security Administration field office contact charged with maintaining the MOU for the Social Security Administration.

B. Authorized DOC officials are responsible for verifying an inmate’s identity and assisting inmates who meet the criteria in applying for a replacement Social Security card.

1. Each qualifying inmate will be provided an Application for a Social Security Card, Form SS-5 (See Attachment 4).

2. Each inmate who completes Form SS-5 will be required to complete and sign the Consent for Release of Information form, SSA-3288 (See Attachment 5).

3. The Authorized DOC official will review and compare the inmate’s completed SS-5 and SSA-3288 forms to identification information/documents contained in the inmate’s records to ensure the following:

   a. The inmate has signed Form SS-5 and SSA-3288;

   b. The inmate’s name listed on Form SS-5 and SSA-3288 reflects the inmate’s legal name; and

   c. The inmate’s prison system identification number and the address of DOC Central Records are included in the address block on Form SS-5.

4. The Authorized DOC official is responsible for preparing a Certification of Prison Records form (See Attachment 6).

   a. The Certification of Prison Records will include any other name(s) and/or SS number(s) used by the inmate.
1) If, at any time during the process, the Authorized DOC official becomes aware an inmate has used or is associated with multiple social security numbers, the inmate will be referred to the procedures prescribed in the Application for a Social Security Card Outside the Provisions of the MOU.

b. The Certification of Prison Records will contain the inmate’s mother’s maiden name and father’s name, only if that information can be verified.

1) If the inmate’s mother’s maiden name and father’s name cannot be verified, this should be described as “unknown” on the Certificate of Prison Records form.

c. The completed Form SS-5 will be placed in a secure location until mailed to the SSA field office.

Note: Copies of the completed Form SS-5 will not be included in the inmate’s file or records. Only the inmate’s name, prison system identification number(s) and the date of application for a replacement social security card will be included in the database. Inmates will not have access to files containing completed Forms SS-5 and SSA-3288.

C. Upon verification and completion of the forms, these will be mailed to the proper Social Security Administration field office:

1. Form SS-5 (See Attachment 4);
2. Form SSA–3288 (See Attachment 5);
3. Certification of Prison Records form (See Attachment 6); and
4. Replacement SSN Card Application List (See Attachment 7).

a. When submitting multiple replacement social security card applications, only one Replacement SSN Card Application List is required per submission for each Social Security Administration field office.

9. Receiving of Inmate Identification Documents:

A. All inmate identification documents received while the inmate is in DOC custody must be kept in a secure location when not in the direct possession and control of the inmate or staff to prevent unauthorized access of such documents.

1. At no time will inmates be allowed access to files, records or documents containing Social Security cards or Social Security numbers of other inmates (See DOC policy 1.1.E.3 Offender Access to DOC Records).

2. SDCL § 22-40-8. Any person without the authorization or permission of the person and with the intent to deceive or defraud, obtain, possess, transfer, use or attempt to obtain records identifying information not lawfully issued for that person’s use; or who accesses or attempts to access the financial resources of another person through unauthorized use of identifying information is guilty of identity theft, which is a class 6 felony.

3. Qualifying inmates may be authorized by unit staff or the transition case manager to gain temporary access to their identification documents/information for purposes of applying for employment, housing, enrollment in vocational/educational classes/programs, etc.
4. Inmates approved to operate a motor vehicle on any public roadway for the purposes of employment or other assigned jobs or tasks, shall have their valid driver license in their possession while operating a motor vehicle on any public roadway, as required by state law (See SDCL § 32-12-39). Inmates will turn their driver license in to the control room upon return to the facility (See DOC policy 1.5.A.8 Inmate Operation of Vehicles).

B. In the event a Social Security card is received by the DOC that cannot be associated with an inmate, or there is no record of an inmate by that name completing or submitting an Application for a Social Security Card, the Social Security card must be returned to the Social Security Administration within thirty (30) days.

C. In the event an inmate receive a Social Security card that contains incorrect information or an error, the authorized DOC official will contact the issuing Social Security Administration office and report the error. If directed, the card will be returned to the issuing office.

D. Significant delays or other issues negatively affecting the processing and receipt of replacement Social Security cards for inmates who have properly submitted all required forms to the Social Security Administration field office, must be promptly reported by Central Records staff to the Policy and Compliance Manager.

10. Identification Documents Release Procedures to Inmates:

A. Central records staff will review the legal file of each inmate identified for release or discharge for identification documents sufficiently prior to the inmate’s release or discharge from custody (See DOC policy 1.4.G.2 Inmate Release Procedure).

1. Discharge:

   a. All identification documents maintained in the inmate’s legal file will be forwarded along with the discharge certificate to the appropriate facility’s business office to be issued to the inmate upon release.

   b. If the inmate is being released to the permanent custody of another detaining authority (hold), the documents shall be securely transferred and released to the detaining authority.

2. Release to Parole, Suspended Sentence or Extension of Confinement:

   a. Once Central Records staff receives the Release Slip from the appropriate transitional case manager (at least five (5) working days prior to the release), all identification documents maintained in the inmate’s legal file will be sent via First Class U.S. Mail to the supervising parole agent (includes Interstate Compact releases to supervision in another state).

   b. During the first meeting with the inmate, the supervising parole agent will provide the inmate with their identification documents.

3. Death in Custody:

   a. The Social Security cards of inmates who have died in DOC custody will be returned to the issuing Social Security Administration office within 30-days of the inmate’s death. All other identification documents will be promptly released to the inmate’s family or next of kin.
V Related Directives:
SDCL §§ 22-40-8, 24-2-30 and 32-12-39.

DOC policy 1.1.E.3 – Offender Access to DOC Records
DOC policy 1.1.E.5 – Inmate Criminal History
DOC policy 1.1.E.6 – Case Management
DOC policy 1.4.A.1 – DOC Photographs of Inmates
DOC policy 1.4.A.2 – Inmate Admission
DOC policy 1.4.G.2 – Inmate Release Procedure
DOC policy 1.5.A.1 – Inmate Work Assignments and Pay
DOC policy 1.5.A.8 – Inmate Operation of Vehicles

DOC policy 1.4.A.1 – DOC Photographs of Inmates
DOC policy 1.4.A.2 – Inmate Admission

VI Revision Log:

**October 2008:** New policy.

**March 2009:** Revised policy statement to clarify that this policy will assist inmates who require ID documents to gain employment, housing, etc. Replaced “eligible” with “accepted” as it relates to PSPI in ss (A1b of Application for a Replacement Social Security Card). Replaced “note” with “1)” under ss (A4a), added new ss (b and b1) and reformatted subsequent bullets all within Responsibilities of Authorized DOC Officials. Revised Attachment 1 to include Jr., Sr., or other as it relates to the inmate’s name. Revised Attachment 6 to include mother’s maiden name and father’s name.

**January 2010:** Replaced formatting of Section I. Deleted statement regarding “and requesting a replacement social security card in ss (A), replaced Authorized DOC Official with unit staff and/or TCM throughout section, added ss (C1a) regarding Vital Statistics, replaced Authorized DOC Official with Central Records in ss (C1b), replacing emailed with sent and replaced reference to two weeks with stamped envelope and commissary slip in ss (D4a), added “Note” about where funds come from in ss (D4a) and deleted former ss (D4b) regarding the respective business office cutting the check and sending back to the Authorized DOC Official all within Application for a Birth Certificate. Revised picture of Attachment 8. Added hyperlinks throughout.

**January 2011:** Deleted from SS 1 C. within Note: “mainframe is blank and replaced with “the Criminal History database is “U”. Removed from SS 2 B. “All birth certificate applications will include the Birth Certificate Application Cover Letter (See Attachment 8). Added “and” to SS 2 C. 2 and Added “All out-of-state birth certificate applications will include the Birth Certificate Application Cover Letter (See Attachment 8) Removed “South Dakota” from SS 2 4. b 2). Added “from that state”. Added SS 6 D. “ID documents once received by the DOC will not be released to anyone other than the inmate”

**March 2012:** Deleted “released from an adult DOC facility” from Purpose section. Added “has” Deleted “in preparation” and Replaced with “in advance of being approved for” and Deleted “and release” and Replaced with “or release to supervision or final discharge” in Section 1 A. Added “or access to” to Section 2 A. 1. Added “in their possession” to Section 2 A. 1. a. Added b. to Section 2 A. 1. Added “if available” in Section 2 B. 3. Added “official and/or verifiable” to Section 2 B. 5. Added “juvenile and/or adult” to Section 2 B. 6. Added Section 4 and Renumbered sections that follow. Added “required/accepted by the SS Administration to apply for a SS card” to Section 5 A. 2. Added “(through review of the PSI or NCIC)” in Section 6 B. 1. a. 1) Deleted “ID documents received by the DOC will not be released to anyone other than the inmate” in Section 6 D. Added (U.S. Citizens) to sections 3, 5, & 6.

**February 2013:** Deleted “and if he/she has” and Replaced with “Staff will also ask the inmate if they have” and Added “valid” in Section 2 A. 1. Deleted “Criminal History tab” and Replaced with “Alias and Other Identifiers tab” and Deleted “Citrix” and Replaced with “COMS” in Section 2 C.
Deleted a. b. c. ("yes" and "no" identifiers info.) Deleted "If the ID Verification field on the Criminal History database is "U", the transition case manager will contact central records to determine the verification of the inmate" in "Note" section of Section 2 C. Deleted "on the second QS screen on mainframe" and Replaced with "In the Personal Information Screen in COMS" and Deleted "noted on the comments section" and Replaced with "recorded on the Holds/Warrants/Detainer screen in COMS" in Section 2 D. 2. Deleted "demographics screen within mainframe" and Replaced with "Personal Information Screen in COMS" in Section 2 D 4. Added "Inmates may be authorized by unit staff or a transition case manager to maintain possession of their driver license for work purposes." in Section 8 A.

July 2013: Deleted "For the purpose of this policy, IDs may consist of anything that is solely connected with an inmate’s identity, such as" and Replaced with Current, (not expired)" and Added "non-driver’s license ID, U.S. passport, U.S. Military ID, health insurance or Medicaid card, employee identification card or badge, certificate of naturalization or Citizenship" to Definition of Identification Documents. Added definition of "Citizenship Documents" Deleted term “offender” and Replaced with “inmate” throughout the policy. Added "CTP" to Section 1 A.

August 2013: Deleted "identification documents" and Replaced with "valid social security card, driver’s license or birth certificate” and Added "mailroom staff will notify the inmate and records staff of the receipt of these documents” in Section 1 A.1 b. Added a. to Section 1 A. 3. Added “and shall be compared to other records to establish an inmate’s identification” in Section 1 B. 1. "Note". Added “and identification documentation” and Added “reviewed and” and Deleted “any information” and Replaced with “an inmate’s correct identification” and Deleted “matched” and Replaced with “verified” in Section 1 C. Added c. to Section 2 A. 1. Deleted “has not been issued a SS card” Deleted “the replacement” and Added “(original SS number/card, or corrected replacement card, (including name change requests))” in Section 5 A. Added 4. to Section 5 A. Deleted “they are” and Replaced with “may be” and Added “under the memorandum of understanding between the DOC and SSA” in Section 6 A. Added 1-4 in Section 6 b. Deleted “complete” and Replaced with “update” and Added "(Sioux Falls for males and Huron for females)" in Section 7 A. Added "FBI or SD DCI records, the inmate will be referred to" in Section 7 B. 4. a. Added 3. to Section 9 A.

January 2015: Deleted “at the time of admission” and Replaced with “when the Transaction Key check box is checked on the Key Date screen” in Section 2 A. 3. Added b. to Section 9 A. Added "SSA-3288 to Section 5 A. 2. Added “unless the inmate also completes a Form SSA-3288, which allows the SSA to send the SS card to the facility” to the “Note” in Section 5 A.

January 2016: Reviewed with no changes.

January 2017: Reviewed with no changes.

February 2018: Added Section 4.

February 2019: Updated Attachment 4 and 5 to reflect revised federal form.

July 2019: Added "received" and Deleted "identified for release or discharge through review of the pre-release list” in Section 3 A. Added "photocopy of their blue DOC ID (front and back) or state issued driver license" in Section 3. B. 1. b. Deleted “unit staff or transitional case manager” and Replaced with Admission and Orientation unit staff” in Section 3. Deleted “12 months” and Replaced with "24 months” in Section 4 C. 4. Added “may apply for a state ID” in Section 4 D. 1. Deleted “120 days” and Replaced with “180 days” in Section 7 C. 1. and 2.

January 2020: Reviewed with no changes.
Attachment 1: New Inmate Interview Questionnaire

The New Inmate Interview Questionnaire form is located at:

M:\DOC\DOC Policies\Agency\DOC Policies\Attachment Templates\New Inmate Interview Questionnaire.doc

The gray areas indicate the information that is to be entered.
Attachment 2: ICE Hold Inquiry

The *ICE Hold Inquiry* form is located at:

M:\DOC\DOC Policies\Agency\DOC Policies\Attachment Templates\ICE Hold Inquiry.doc

The gray areas indicate the information that is to be entered.
Attachment 3: Authorized DOC Officials Document

The *Authorized DOC Officials Document* form is located at:

M:\DOC\DOC Policies\Agency\DOC Policies\Attachment Templates\Authorized DOC Officials Document.doc

The gray areas indicate the information that is to be entered.
Attachment 4: Application for a Social Security Card, SS-5


<table>
<thead>
<tr>
<th>SOCIAL SECURITY ADMINISTRATION</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Application for a Social Security Card</strong></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>NAME</th>
<th>First</th>
<th>Middle</th>
<th>Last</th>
</tr>
</thead>
<tbody>
<tr>
<td>SOCIAL SECURITY ADMINISTRATION</td>
<td>Form Approved</td>
<td>DECEMBER 9, 1980</td>
<td></td>
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<table>
<thead>
<tr>
<th>PLACE OF BIRTH</th>
<th>City</th>
<th>State</th>
<th>Country</th>
</tr>
</thead>
<tbody>
<tr>
<td>OTHER NAME USED</td>
<td>First</td>
<td>Middle</td>
<td>Last</td>
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</table>

<table>
<thead>
<tr>
<th>CITIZENSHIP</th>
<th>U.S. Citizen</th>
<th>Legal Alien 15 Years Old</th>
<th>Legal Alien Not Allowed To Work</th>
<th>Other (See Instruction On Page 3)</th>
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</table>

<table>
<thead>
<tr>
<th>ETHNICITY</th>
<th>Native American</th>
<th>Asian</th>
<th>African American</th>
<th>Other Pacific Islander</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>RACE</th>
<th>Select One or More (See Instructions on Page 3)</th>
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<tr>
<th>SEX</th>
<th>Male</th>
<th>Female</th>
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<table>
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<tr>
<th>A. PARENT/MOTHER’S NAME AT HER BIRTH</th>
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<th>Middle</th>
<th>Last</th>
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<table>
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<tr>
<th>B. PARENT/MOTHER’S SOCIAL SECURITY NUMBER</th>
<th>Social Security Card Issued for the Person Listed in Item 4</th>
<th>Unknown</th>
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<tr>
<th>PURPOSE OF APPLYING</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
</table>

<table>
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<tr>
<th>TODAY’S DATE</th>
<th>MDYGGYVVV</th>
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</thead>
</table>

<table>
<thead>
<tr>
<th>Mailing Address</th>
<th>Address Line 1</th>
<th>Address Line 2</th>
<th>City</th>
<th>Zip Code</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>YOUR SIGNATURE</th>
<th>Date</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>YOUR RELATIONSHIP TO THE PERSON IN ITEM 1</th>
</tr>
</thead>
</table>

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Attachment 5: Consent for Release of Information, SSA-3288

The Consent for Release of Information, SSA-3288 form is located on the Social Security Administrations website.

A copy may be printed by going to https://www.ssa.gov/forms/ssa-3288.pdf
Attachment 6: Certification of Prison Records

The Certification of Prison Records form is located at:

M:\DOC\DOC Policies\Agency\DOC Policies\Attachment Templates\Certification of Prison Records.doc

The gray areas indicate the information that is to be entered.
Attachment 7: Replacement SSN Card Application List

The Replacement SSN Card Application List form is located at: M:\DOC\DOC Policies\Agency\DOC Policies\Attachment Templates\Replacement SSN Card Application List.doc

The gray areas indicate the information that is to be entered.

![Replacement SSN Card Application List](image-url)
Attachment 8: Birth Certificate Application Cover Letter

The *Birth Certificate Application Cover Letter* form is located at:

M:\DOC\DOC Policies\Agency\DOC Policies\Attachment Templates\Birth Certificate Application Cover Letter.doc

The gray areas indicate the information that is to be entered.
Attachment 9: South Dakota Application for a Birth Record

The South Dakota Application for a Birth Record form is located on the South Dakota Department of Health’s website.

A copy may be printed by going to www.vitalrecords.sd.gov as follows:

a. Click on Order Records on the left side of the screen.
b. Click on birth located on the second bullet under Ordering Birth, Death and Marriage Records
c. Print our form.

<table>
<thead>
<tr>
<th>South Dakota Application for a Birth Record</th>
<th>Page 1 of 2</th>
</tr>
</thead>
<tbody>
<tr>
<td>To receive a birth record you must:</td>
<td>Page 2 of 2</td>
</tr>
<tr>
<td>a. Click on Order Records on the left side of the screen.</td>
<td></td>
</tr>
<tr>
<td>b. Click on birth located on the second bullet under Ordering Birth, Death and Marriage Records</td>
<td></td>
</tr>
<tr>
<td>c. Print our form.</td>
<td></td>
</tr>
</tbody>
</table>
Attachment 10: Driver Licensing Cover Letter

The **Driver Licensing Cover Letter** form is located at:

M:\DOC\DOC Policies\Agency\DOC Policies\Attachment Templates\Driver Licensing Cover Letter.doc

The gray areas indicate the information that is to be entered.

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**DEPARTMENT OF CORRECTIONS**
**ADMINISTRATION**
3200 East Highway 34
P.O. Box 5911
Pierre, SD 57591-5911
Phone: (605) 773-3478
Fax: (605) 773-5164

June 20, 2015

TO: South Dakota Driver’s Licensing
ATTN: Christa Campbell

FROM: "Staff Name"

RE: Duplicate Identification Cards

Please provide duplicate ID’s for the individuals listed below. Payment will be through Non-Cash Voucher(s) to the Department of Corrections, C/O Jackie Hanson, 3200 East Hwy 34 Pierre, SD 57501.

Please mail all ID’s to:

South Dakota Department of Corrections
Central Records Office
P. O. Box 5911
Sioux Falls, SD 57117-5911

Thank you,

Staff Name
Title
Address and Phone Number