Policy 1.4.B.3

Distribution: Public Adult Internal Management System (AIMS)

1.4.B.3 Adult Internal Management System (AIMS)

I Policy Index:



Date Signed: 10/29/2015 Distribution: **Public Replaces Policy:** 4B-6 Supersedes Policy Dated: 09/25/2013

Affected Units: Adult Male Institutions **Effective Date:** 10/30/2015

Scheduled Revision Date: August 2016

> **Revision Number:** 13

Office of Primary Responsibility: DOC Administration

II Policy:

The Adult Internal Management System (AIMS) is an effective correctional management tool designed to identify male inmates based in part on their life history/personal background and observed behaviors while incarcerated. Male inmates with like personalities, background and behavior patterns are separated into homogeneous living groups within institutions to reduce tension, violence, victimization and other disruptive behaviors/misconduct.

III Definitions:

None

IV Procedures:

1. AIMS Implementation:

- A. Adult institutions housing male inmates will utilize the AIMS classification assessment for the internal classification of male inmates within housing units of the institution.
 - 1. No rights, privileges or custody levels will be established, lost, changed, determined or affected on the basis of an inmate's assigned AIMS category.
 - 2. Inmate cell/room assignments will not be based solely on the inmate's AIMS code.
 - 3. AIMS is not used exclusively when assigning inmates to institutional jobs or placement in programs.
- Each adult institution housing male inmates will develop procedures for the implementation of this policy utilizing the Correctional Adjustment Checklist (See Attachments 1 and 2) and the Checklist for the Analysis of Inmate Life History Records (See Attachments 3 and 4). These checklists will be entered into the AIMS assessment located in the adult Comprehensive Offender Management System (COMS).

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2. AIMS Categories:

A. AIMS scores yield five (5) categories, with designations of A, B, C, D and E.

- B. These designations are based on historical background data/life history gathered, and observed behavior adjustment while in the correctional setting (correctional adjustment while housed in the Admissions and Orientation (A&O) Unit).
 - 1. Background data (see checklist) focuses on the inmate's adjustment and stability during time spent in the community.
 - 2. Observed behavior includes the inmate's record of misconduct, ability to follow staff directions, and level of aggression toward other inmates.

3. Initial AIMS Classification:

- A. Male inmates newly admitted to the Department of Corrections (DOC), who have not previously had an AIMS assessment completed, will be assessed by A&O unit staff trained in administering the AIMS assessment.
- B. An inmate's behavior will normally be observed on a 24-hour basis by staff for a minimum of ten (10) days before staff profile the inmate's behaviors and complete the AIMS classification assessment.
- C. Once staff has assigned an inmate to an AIMS category, the inmate will normally remain assigned to that AIMS category (A-E) for the duration of his sentence. See Section 5 (B) below for exceptions.

4. Housing Assignment Based on AIMS:

- A. Male inmates will be assigned housing, in part based on their assigned AIMS category, custody classification and programming needs. Inmates with like personalities, background, and behavior patterns may be housed together.
 - 1. Inmates assessed with an AIMS code of C will be housed in cells/rooms with inmates assessed with an AIMS code of A, B, C, D, or E.
 - 2. Inmates assessed with an AIMS code of A or B will not be housed in cells/rooms with an inmate assessed with an AIMS code of D or E.
 - 3. Inmates subject to inter-facility transfer who have been assigned an AIMS category will be assigned to an appropriate cell/room upon arrival at the facility.

5. AIMS Reclassification:

- A. An AIMS assessment will be administered by A&O unit staff trained in AIMS if the new admission inmate was discharged from DOC custody for more than one (1) year from the date of their admission. Parole violators previously assigned an AIMS code/category re-admitted to the DOC do not require another AIMS assessment.
- B. In the event a DOC staff member believes an inmate's assigned AIMS code/category is incorrect, the staff member will notify the respective inmate's Unit Manager.

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- 1. The Unit Manager may schedule the inmate for a second AIMS assessment.
- 2. If the second AIMS classification assessment results in the inmate being placed in a different category, the Unit Manager will notify his/her supervisor.
- 3. The supervisor will arrange a consultation with the designated staff to determine the appropriate AIMS category and housing assignment for the inmate.

V Related Directives:

None.

VI Revision Log:

October 2002: Revised references to O and I to read Admissions and Orientation throughout policy. Added section F to Housing. Added term "housing male inmates" to AIMS Implementation section. Removed State Penitentiary reference from AIMS Categories.

<u>August 2003:</u> Revised the policy statement. Noted that the policy only applies to male inmates and deleted references to female inmates. Added reference to policy 1.4.B.2.

<u>December 2004:</u> Clarified that inmates will be relocated to "AIM-appropriate housing within thirty (30) days" under the section Housing Assignment Based on AIMS.

August 2005: Revised cell/room/bunk assignment requirements for minimum custody facilities so that AIMS is not the primary factor. Revised the language on the ten day observation period.

January 2008: Added attachments 2 and 4. Revised the section on Housing Assignment Based on AIMS to note that the AIMS code determines the inmate's housing unit. Revised the policy statement.

Added references to DOC policies 1.3 D.1 and 1.3 D.4. Added guidelines for recommendation of

Added references to DOC policies 1.3.D.1 and 1.3.D.4. **Added** guidelines for recommendation of alternative housing unit placement. **Revised** attachment 5.

<u>August 2008:</u> Revised formatting of policy and attachments in accordance with DOC policy 1.1.A.2. Revised Policy statement to state that male incarcerated inmates are separated into homogeneous living groups. Revised minor grammatical and wording changes throughout policy. **Added** DOC policy when referencing policies in section V.

<u>January 2009:</u> Added ss (D, D1 and D2 of Housing Assignment Based on AIMS. Revised name of Attachment 5 and added placement and removal to an alternative housing placement within same attachment.

<u>August 2009:</u> Revised title of DOC policy 1.4.B.2 throughout policy. Revised minor wording throughout policy. **Added** hyperlinks throughout policy.

August 2010: Revised formatting of Section 1.

October 2011: Reviewed with no changes.

October 2012: Reviewed with no changes.

March 2013: Deleted "and the computer programmed AIMS list" and Replaced with "These checklists will be entered into the AIMS assessment located in COMS" in Section 1 B. Deleted A. "An inmate's AIMS classification will be examined by the inmate's unit staff at the inmate's regularly scheduled classification hearing" in Section 5.Renumbered items that followed.

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July 2013: Deleted "AlMed will be AlMed" and Replaced with "assessed will have an AlMS assessment completed" in Section 3 A. Deleted B. "Inmates who have not previously been AlMed who are received at any other institutions will be AlMed by trained staff at the receiving institution" in Section 3 A. Deleted "General population housing will be divided into 3 group living areas:" and Replaced with "Inmate housing based on assessment" in Section 4. Deleted "1. The 3 living areas will be divided so that category A and B inmates are housed together in a unit, category C inmates are housed together in a unit and category D and E inmates are housed together in a unit" in Section 4 A. Added "assessed with an AlMS code of" and Deleted "designation" in Section 4 A. 2 and 3. Deleted "Alternative AlMS Housing Placement" and Replaced with "Alternative AlMS Housing Placement form located in COMS on the offender assessment screen under the documents tab" in Section 4 C. 2. Deleted "leaves the DOC" and Replaced with "was discharged from DOC custody" and Added "Inmates who have violated parole and been re-admitted to the DOC do not require a second AlMS classification assessment" in Section 5 A. Deleted "score" and Replaced with "Classification assessment code" in Section 5 A. 2.

September 2015: Added "with like personalities, background and behavior patterns" and "within institutions" to the policy statement. Added "an effective correctional management tool" Deleted "in general population housing as well as male inmates in segregation and specialized populations" and Replaced with "internal classification of male inmates within the housing units of the institution" in Section 1 A. Deleted "assignments" in Section 1 A. Added "established" in Section 1 A. 1. Deleted "at minimum custody facilities" in Section 1 A. 2. Deleted 3. "Although the AIMS code will still be utilized, other considerations such as work assignment, program assignment, etc. may take precedence when making cell/room/bed assignments at a minimum custody facility" in Section 1 A. Added new 3. In Section 1 A. Added "behavior" and Added "profiling an inmate's behaviors and completing" in Section 3 B. Added "custody, classification and programming needs" "Inmates with like personalities, background, and behavior patterns will be housed together" in Section 4 A. Deleted B. "Inmates will be assigned a cell/room based on their AIMS group designation" in Section 4. Deleted "If an AIMS appropriate room/cell is not available when the transferred inmate is admitted to the facility, the inmate will be assigned to an available cell/room. The Warden will be notified of the temporary housing placement. Unit staff will relocate the inmate to an AIMS-appropriate cell/room within thirty (30) days of admission to the facility" in Section 4 B. 2. Deleted "units, sections, tiers" and Replaced with "cells/rooms" in Section 4. Deleted C. and D. regarding alternative housing in Section 4. Delete Attachment 5.

Denny Kaemingk (original signature on file)	10/29/2015
Denny Kaemingk, Secretary of Corrections	Date

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Attachment 1: Correctional Adjustment Checklist

The Correctional Adjustment Checklist form is available as an IWP in COMS or click here.

South Dako la Department of Corrections Policy Distribution: Public			of Corrections	Allachment: Correctional Adjustment Checklist Please refer to 0.00 ploticy 1,4,8,3 Adulf Internal Management System (AIMS)		
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Attachment 2: Cues for Completing the Correctional Adjustment Checklist

1. Worried, anxious

Note: Nervous, uneasy, lack of eye contact, hyper-alert, continually asking to see a counselor.

2. Tries, but cannot seem to follow directions

Note: Frequent "spot" (minor) reports, appears absent-minded, doesn't seem to "get" (understand) instructions.

3. Tense, unable to relax

Note: Can't sleep, paces, sweats a lot, sits on the edge of the chair.

4. Socially withdrawn

Note: Sits alone, doesn't participate, one or two-word conversations.

Continually asks for help from staff

Note: Submits numerous request slips (kites), asks unnecessary questions (ones for which he knows the answers), almost always stops any staff member to ask about something.

6. Gets along with the hoods

Note: Associates with trouble-makers ("heavy" inmates).

7. Seems to take no pleasure in anything

Note: Always complaining, "whiner", seems depressed, almost never smiles.

8. Jittery, jumpy, seems afraid

Note: Hyper startle reactions, nervous, hangs around staff, wants to sign up for every program, seemingly "every day" has a whole new set of problems, frequently on sick-call.

Uses leisure time to cause trouble

Note: A "practical joker", doesn't get involved in organized activities, almost always seems to be in some place other than where he should be.

10. Continually uses profane language, curses, and swears

Note: Excessive use of profane language.

11. Easily upset

Note: Almost any change in routine causes him to erupt.

12. Sluggish and drowsy

Note: "Spot" reports for sleeping during count, droopy, slow moving, often asleep in the middle of the day.

13. Cannot be trusted at all

Note: Frequent disciplinary reports, lies, steals, will not follow directions, often found in places "out of bounds" for inmates.

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14. Moody, brooding

Note: Rapid changes in attitude (seemingly without reason), over-reacts to normal situations, depressed.

15. Needs constant supervision

Note: Never finishes a task, job is always done poorly, asks unnecessary questions, challenges rules and regulations (wants to know "why" about almost everything).

16. Victimizes weaker inmates

Note: Physically/mentally intimidating, loud voice, has "followers", frequent expression of dislike for certain type of offenders/individuals.

17. Seems dull and unintelligent

Note: Loner, not involved in program activities, uses limited vocabulary, doesn't seem to understand instructions, without continual supervision the job gets all messed-up, passive, seems "lost in space", doesn't read, may need help writing.

18. Is an agitator about race

Note: Uses racial slurs/comments, stereotypes others, uses race as a "crutch".

19. Continually tries to con staff

Note: "Buddies-up" to staff, frequently compliments staff members, seems to consider self more like staff than like an inmate, volunteers for undesirable tasks, joins many programs but doesn't really get involved, talks to staff member "A" about staff member "B".

20. Impulsive, unpredictable

Note: Quick changes in attitude, does "dumb" things, frequently asks for changes (i.e. job, bed/room assignment, etc.), "flies off the handle".

21. Afraid of other inmates

Note: Hangs around staff, doesn't participate, avoids contact (physical/ verbal) with other inmates, mentions to staff vague threats that inmates make towards him (but frequently won't name names), fearful body language, tries to "fade into the woodwork".

22. Seems to seek excitement

Note: "Daredevil", tells staff and inmates stories about "daring" deeds, always into things (where the "action" is), frequently comes up with "wild" ideas, challenges other inmates to compete in physical activities.

23. Never seems happy

Note: Depressed, frown upon face, never has a good word to say, sad, loner, seeks sympathy, doesn't associate with other inmates, frequently has complaints about a variety of things.

24. Doesn't trust staff

Note: Quiet, minimal interaction with staff, asks different staff members the same question, overly concerned about differences in ways staff function, wants to know "where does it say that in the rules?"

25. Passive, easily led

Note: Follower, hangs with the "heavy" inmates, reads a lot, quiet, does just what is asked of him without any self-initiated additional effort, "fall guy".

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26. Talks aggressively to other inmates

Note: Uses loud voice, verbally intimidates others, overly profane, makes veiled (or not so veiled) threats.

27. Accepts no blame for any of his troubles

Note: Always has an excuse, "society made me do it", the police "set him up", never his fault.

28. Continually complains; accuses staff of unfairness

Note: Frequently files grievances, jail-house lawyer, will "button-hole" anyone to tell them about unfair treatment he is receiving, wants to know "where in the rule book does it say that?"

29. Daydreams; seems mentally off in space

Note: Sits alone, doesn't participate, inattentive, stares off into space, has "poor" hearing.

30. Talks aggressively to staff

Note: Uses loud voice, surly, verbally intimidating, excessive profanity, challenges staff's authority to give him orders.

31. Has a quick temper

Note: Gets numerous disciplinary reports, challenges staff, blows-up over minor things, can't take "no" as an answer, ready to fight "at the drop of a hat".

32. Obviously holds grudges; seeks to get even

Note: Stirs up trouble, has angry looks on his face, preoccupied, very observing, turns in many reports about staff behavior, seems always to be quietly talking to other inmates.

33. Inattentive; seems preoccupied

Note: Fails to follow instructions, doesn't pass orientation exam, little eye contact, doesn't seem to be listening, "off in space".

34. Attempts to play staff against one another

Note: Asks several staff members the same question, talks to one staff member about another staff member.

35. Passively resistant; has to be forced to participate

Note: Slow to follow instructions, requires a direct order to get action, complainer, quietly challenges staff's authority, wants to know "where does it say that in the rules?" loner, just sits, whatever it is he'd rather not do it.

36. Tries to form a clique

Note: "Helps out" other inmates, acts as a "leader" or spokesman for other inmates, attracts a group of followers.

37. Openly defies rules and regulations

Note: Numerous disciplinary reports, loudly challenges staff's authority (frequently in front of other inmates), wants to know "where does it say that in the rules?"

38. Often sad and depressed

Note: Cries, withdrawn, isolated, doesn't talk much, does not participate in activities, moves slowly, family reports no contacts with him.

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39. Stirs up trouble among inmates

Note: Talks to different group of inmates than usually talks to, loud mouth, sets self up to be the center of attention, wants to know about other inmates' charges, snitcher, tells inmate "X" what inmate "Y" said about him, sets up inmates/groups against one another.

40. Aiding or abetting in breaking the rules

Note: Behind-the-scenes type, set-up other inmates (dares them to do things), is leader of a group of "heavy" inmates, tells "war stories" about how to break the rules and get away with it.

41. Considers himself unjustly confined

Note: Claims to be innocent, complains about unfair sentence, displays no remorse over wrongdoings, maintains "they got the wrong guy", challenges authority has the right to make the decision to confine him.

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Attachment 3: Checklist for the Analysis of Inmate Life History Records

The **Checklist for the Analysis of Inmate Life History Records** is available as an IWP in COMS or click here.

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Distribution: Public Adult Infernat Management System (AIMS)						
CHECKLIST FOR THE ANALYSIS OF INMATE LIFE HISTORY RECORDS						
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Has few, if any, friends Thrill - seeking						
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Uncontrolled as a child						
5. Has expressed guill over offense						
6. Expressed need for self-improver	neni					
7. Socially withdrawn						
8. Weak, indecisive, easily led						
9. Previous local, state or federal inv	carceration					
10. Tough, defani						
11. Irregular work his lory (If not a site	ien b					
	Noted not to be responsive to counseling					
13. Gives impression of inepiness, in	compelence in managing everyd ay problems in lluing					
14. Supports wife and children						
	Claims offense was molivated by family problems					
	Close les with criminal elements					
	Depressed, morose					
	Physically aggressive (strong arm, assauli, recitiess homicide, allempied murder, mugging)					
	I" behavior on part of the offender					
	Single marriage					
	Expresses feelings of inadequacy, wor livessness					
	Difficultes in the public schools					
24. Passive, submissive	Suffering financial reverses prior to commission of offense for which incarcerated Parather to the leading					
25. Bravado, braggari						
25. Guilless, blames others						
27. Lack of concern for others						
27. Lack of concern for others						

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Attachment 4: Cues for Completing the Life History Checklist

1. Has few, if any friends.

Record – was crime committed alone or with others? Ask – are you a loner or do you "hang around" with lots of people?

Thrill seeking.

May be indicated by evidence of excessive substance abuse, excessive disciplinary record, even tattoos. Crime statement may provide an indication.

3. Preoccupied; "dreamy".

Observe – Does he stare into space? Does he have a minimal attention span?

4. Uncontrollable as a child.

Record – juvenile incarcerations. Did he ever run away from home? During assessment interview you already ask, "why did you drop out of school?" If he had difficulty or got into trouble this is a good indicator. If he completed high school, chances are he was not uncontrollable as a child.

Has expressed guilt over offense.

Record – read the crime statement. <u>Observe</u> his attitude – ask him to tell you a little about what happened (concerning the crime). Do not simply ask: "do you feel guilty?" He'll either say "yes" or say he didn't commit the crime at all.

6. Expresses need for self-improvement.

Record – has he participated in any programs or is he currently interested in program participation?

Socially withdrawn.

Avoids eye contact, minimal conversation.

8. Weak, indecisive, easily led.

Record – crime statement; was he involved in a crime with others? Does he appear to have been a ring leader? Ask about crime – does he claim he was in with the wrong crowd?

9. <u>Previous local, state or federal incarceration.</u>

Record – has the inmate been incarcerated for any other charge (including misdemeanor charges at local jails) prior to this incarceration?

10. Tough, defiant.

Record – disciplinarians, a juvenile record, crimes such as resisting arrest are good indicators. Observe – the inmate's attitude (it is not appropriate to ask directly "are you tough a defiant?"). Is he arrogant?

11. Irregular work history (if not a student).

This is asked during the assessment interview. Verify by checking the record.

12. Noted not responsive to counseling.

Record – has the inmate participated in any needed counseling?

Example: He had a substance abuse problem and participated in counseling and is being written up for contraband, etc., or counseling was recommended but he did not attend sessions.

13. Gives impression of ineptness, incompetence in managing every day problems in living. Appearance – is he disheveled, messy, "not very together", does he lack basic social skills?

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14. Supported wife and children.

Record – was he regularly employed and did he have a family to support?

15. Claims offense was motivated by family problems.

Is there evidence of recent or longstanding discord/turbulence in family relationships?

16. Close ties with criminal elements.

Did he have accomplices? Were they career criminals? Does he associate with known "hoods" in the prison?

17. Depressed, morose.

Have there been recent suicide attempts or gestures? Is his general attitude depressed?

18. <u>Physically aggressive (strong arm, assault, reckless homicide, attempted murder, mugging, etc.).</u> Record – has the inmate ever been convicted of a physically aggressive crime? Note the "etc." It could be sexual assault, resisting arrest, "etc."

19. Apprehension likely due to "stupid" behavior on the part of the offender.

Record – does it specify drug/alcohol influence? Read crime statement – was the arrest due to "stupid behavior"?

20. Single marriage.

The inmate is currently married and has never been divorced or widowed (In those jurisdictions which recognize common law marriages, common law does apply).

21. Expresses feelings of inadequacy, worthlessness.

Suicide gestures, general attitude.

22. <u>Difficulties in public schools.</u>

Did the inmate drop out of school? Does he have below-average test scores? This item is concerned mainly with behavioral difficulties.

23. <u>Suffered financial reverses prior to commission of offense for which incarcerated.</u>

Did the inmate lose his job just prior to committing his current offense?

24. Passive, submissive.

Is the inmate guiet, hard to converse with? Does the inmate let others "push him around?"

25. Bravado, braggart.

Does the inmate talk about how great he is (I was making big money on the streets, etc.).

26. Guiltless, blames others.

Record – crime statement. Denial of evident substance abuse problems.

27. Expresses lack of concern for others.

Thinks only of himself. No concern for family, friends, or victim (if applicable).

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