

1.4.B.13 LSI-R Assessment and Case Planning

I Policy Index:



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II Policy:

The Department of Corrections (DOC) will use the LSI-R assessment to help determine an inmate's programming needs and to prioritize programming based on the inmate's overall need.

III Definitions:

LSI-R:

Level of Service Inventory-Revised. An assessment used to measure an inmate's risk to reoffend and to define the inmate's programming needs.

LSI Core:

Programs required and/or recommended as a result of the LSI-R assessment; i.e. Thinking for a Change (T4C), Moral Recognition Therapy (MRT).

Custody Classification Risk:

The risk levels of Maximum, High Medium, Low Medium and Minimum are used to predict an inmate's risk of institutional escape and violence (See DOC policies 1.4.B.2 [Male Inmate Classification](#) and 1.4.B.14 [Female Inmate Classification](#)).

Community Risk:

A level of risk established by the Community Risk assessment which is used to predict the risk of an individual parolee's supervision failure (See DOC policy 1.5.G.4 [Parole Services-Community Risk Assessment and Supervision of Offenders](#)).

P-Scan:

An assessment used to rate the inmate's tendency toward psychopathic behaviors.

New Admission:

A person sentenced to serve time with the DOC who is admitted to a DOC facility for the first time under that sentence; including new commitments, suspended imposition of sentence (SIS) and suspended execution of sentence (SES) admissions, Federal Bureau of Prison admissions, and Federal Probation and Parole admissions. This definition specifically excludes parole/suspended sentence violators, U.S. Marshal Holds, "detainees" and "extended detainees".

Parole/Suspended Sentence Violator (PV/SSV):

An offender under supervision who is transferred from the supervision of a parole agent to a DOC facility following a violation of the offender's supervision agreement.

Detainee:

An offender whose supervision is transferred by a parole agent to a DOC facility for a period not to exceed five (5) working days (120 hours), excluding weekends and holidays. Within the five (5) working days (120 hours) detainment period, the parolee must either be released, be designated as a parole violator or self-commit to the Community Transition Program (CTP). The detainment can be extended an additional five (5) working days (120 hours) by authorization of a parole supervisor. The Executive Director of the Board of Pardons and Paroles must authorize a detention beyond the additional five (5) working days (120 hours) detention.

Extended Detainee: An extension to a detainer, authorized by the Executive Director of Parole Services, which is issued for a variety of reasons, such as investigative purposes, waiting for a placement opening, a disciplinary sanction to a violation of the supervision agreement, etc. (See ARSD § 17:61:01:01 (4) and 17:61:01:11). The detainment extension may be for up to ninety (90) calendar days.

U.S. Marshal Hold:

An inmate placed in a DOC facility under contract by the U.S. Marshals until arrangements are made to transfer the inmate to federal custody.

Motivational Interviewing:

A direct counseling style for eliciting behavior change by helping inmates to explore and develop ambivalence toward their criminal behavior, which in turn motivates the inmate to address and resolve factors contributing to criminal behavior.

Scheduled Programs:

Academic (GED, Basic Ed and Literacy), Chemical Dependency Treatment, Sex Offender Treatment, Vocational Education, Thinking for a Change and Moral Recognition Therapy.

IV Procedures:

1. LSI-R Assessment

- A. The main objective of the LSI-R assessment is to reduce recidivism by identifying and targeting an inmate's specific criminogenic needs through programming and the use of motivational interviewing.
- B. Admission case managers will complete LSI-R assessments on all new admissions, parole violators, and suspended sentence violators admitted from the community. (A new LSI-R is not completed on suspended sentence violators who have their suspended sentence imposed prior to release to the community.
- C. If unit staff believes an inmate's LSI-R assessment is inaccurate, staff will consult with the Risk Reduction Manager to determine if another LSI-R assessment should be administered.
- D. LSI-R assessments will be conducted in conjunction with trailer assessments administered by Behavioral Health staff and Education staff.

- E. Admissions Case managers will interview each inmate using the LSI-R interview guide and motivational interviewing.
- F. Case managers will make referrals for additional assessment work to Behavioral Health and/or Education staff based on the results of the LSI-R and trailer assessments.
- G. In addition to sources identified within this policy, case managers may use other available sources to confirm information scored on the assessment.

2. LSI-R Interview Scoring Guide:

- A. LSI-R assessment subgroup 1 – CRIMINAL HISTORY:
 - 1. The case manager will develop a criminal history profile based on current and past offenses. Sources: Criminal History sheet in the legal file.
 - a. Past offenses include all felony and misdemeanor convictions received as an adult or juvenile.
 - 1) A search of the Comprehensive Offender Management System (COMS) will be completed for all inmates to verify the existence of any juvenile history.
 - b. Juvenile history starts with the earliest arrest or adjudication of the juvenile.
 - c. The items referencing previous adult convictions and number of prior adult convictions are cumulative; i.e. the same inmate can be scored on items 2.a., 2.b. and 2.c. (below).
 - 2. The following items will be scored after the interview. The case manager will gather the following information:
 - a. Any previous adult convictions (Score if “yes”).
 - b. Number of prior adult convictions ≥ 2 (Score if two or more).
 - c. Number of prior adult convictions ≥ 3 (Score if three or more).
 - d. Number of current offenses/transactions on this admission ≥ 3 (Score if three or more).
 - e. Juvenile arrest under the age of sixteen (Score if “yes”).
 - f. Incarcerated upon conviction (This item will always be scored “yes”).
 - g. Any escape or attempted escape history from a court ordered facility/placement. (Score if “yes”.) DO NOT SCORE ABSCONDING FROM PAROLE/PROBATION OR FLEEING FROM ARREST/ELUDING POLICE.
 - h. Disciplinary record while in prison (Score if the inmate was ever found to have committed a major Offense in Custody and a sanction was imposed).
 - i. Probation or parole violations where a sanction was imposed by the supervising agent. A revocation is not necessary to score this information. Sources: COMS, parole violation reports, probation violation reports, technical violations and inmate self-report (Score if violations are present) (See DOC policy 1.5.G.6 [Response to Violations](#)).

- j. Incidence of violence – This item includes any act of violence. A felony conviction is not required. Sources: COMS, public school records, DOC juvenile system information, institutional disciplinary, inmate self-report, NCIC, criminal history database, PSI, parole and jail reports (Score if violence is present).
- k. Information about any victim(s) will be gathered; i.e. age, gender, relationship to the inmate.

B. LSI-R assessment subgroup 2 – EDUCATION AND EMPLOYMENT HISTORY.

- 1. The case manager will develop an educational and employment profile based on the inmate's work history and educational involvement.
- 2. The following will be scored for the employment profile:
 - a. Current employment status – Is the inmate unemployed? If the inmate is serving a short sentence i.e. 60 days or less and states he/she will still be employed upon release- attempt to verify this information. Do not score if it can be verified the inmate is serving a short sentence and will still be employed when he/she gets out of prison. If the inmate has been incarcerated for more than two (2) years, employment history while incarcerated is scored.
 - b. Frequency of unemployment in the past two (2) years.
 - 1) Score if the inmate is employed less than fifty percent (50%) of the time in the last two (2) years or has held three (3) or more jobs in the last twelve (12) months or if primary employment was from temporary day labor agencies.
 - 2) Do not score if the inmate is attending school full-time.
 - 3) If incarcerated for more than two (2) years, score incarceration time. If incarcerated less than two (2) years, score twelve (12) months prior to incarceration.
 - c. Has the inmate ever held a job for a full year (Score if “no”).
 - d. Was the inmate ever fired from a job (Score if “yes”).
- 3. The following will be scored for the education profile (items a and b are cumulative):
 - a. The highest grade of school completed was < grade 10 (Score if “yes”).
 - b. The highest grade of school completed was < grade 12 (Score if “yes”).
 - c. Was the inmate ever suspended or expelled from any school due to disciplinary/ delinquent behavior? (Score if “yes” but do not score if the inmate was suspended due to poor academic performance).
- 4. The following will be scored based on performance in work/school:
 - a. Determine the inmate's attitude and orientation about work/school performance (Score if poor attitude and performance is present).
 - b. Determine the inmate's relationship with co-workers/other students (Score if poor or no relationship exists).
 - c. Determine the inmate's relationship with boss/teachers (Score if poor or hostile relationship exists).

5. Information on an offender's work skills will be gathered.
- C. LSI-R assessment subgroup 3 – FINANCIAL STATUS.
1. The case manager will develop a financial profile of the inmate to identify the inmate's ability to handle his/her finances.
 2. Review the inmate's current financial situation.
 - a. If the inmate has had unstable employment, as indicated in the previous subgroup, and has had difficulty paying bills or accumulated large debt (Score if the inmate is concerned about how he/she will meet obligations or use best judgment if the debt(s) appears overwhelming and the inmate has no plans to pay off the debt). Additionally, score this item if the inmate is identified as having a gambling problem.
 - b. Determine if the inmate relies on social assistance/welfare (Score if the inmate relies on social economic assistance programs).
 - c. Information on court ordered obligations; i.e. restitution, fines, fees, child support, etc. will be gathered.
- D. LSI-R assessment subgroup 4 – FAMILY/MARITAL.
1. The case manager will develop a profile of the inmate based on current and past family and marital relationships.
 2. Determine if the inmate is happy with his/her current marital situation or equivalent (Score if the inmate is dissatisfied with his/her current situation).
 3. Determine if the inmate has a non-rewarding parental relationship (Score if non-rewarding parents are present in the inmate's life and have an impact on his/her behavior). Score if both parents are deceased or one parent is deceased and the other parental relationship is non-rewarding.
 4. Determine if the inmate has non-rewarding relatives, other than his/her parents (Score if non-rewarding other relatives are present in the inmate's life and have an impact on the inmate's behavior).
 5. Determine if the relatives or spouse/equivalent has current or prior criminal behavior (Score if a parent, sibling or spouse/equivalent has a criminal record).
- E. LSI-R assessment subgroup 5 – ACCOMMODATION.
1. The case manager will develop a profile of the inmate based on current accommodations.
 2. If the inmate is within five (5) years of his/her scheduled parole date, determine the inmate's living plans upon release to parole (Score if the plan is unsatisfactory; i.e. CTP, halfway house, high crime neighborhood).
 3. Determine the number of address changes the inmate had in the past year prior to incarceration (Score is based on twelve (12) months prior to incarceration, if incarcerated less than two (2) years. If incarcerated greater than two (2) years, score is based on last twelve (12) months of incarceration). Score if ≥ 3 .

4. Determine if the inmate lives in a high crime neighborhood (This item will always score yes).
- F. LSI-R assessment subgroup 6 – LEISURE/RECREATION
1. The case manager will develop a profile of the inmate based on how leisure time is used.
 2. Determine if the inmate has participated in any formal organized activity on a regular basis with a pro-social group of people (Score if the inmate did not participate).
 3. Determine if the inmate makes good use of his/her spare time (Score if the inmate's use of time contributes to problems; i.e. drinking, drug use, gambling).
- G. LSI-R assessment subgroup 7 – COMPANIONS.
1. The case manager will develop a profile of the inmate based on who he/she spends time with. This does not include family and spouse, as these were previously scored.
 2. Determine if the inmate is a social isolate, if they experience difficulty meeting others and/or have limited social skills. The inmate may present as shy or demonstrate a chronic inability to form bonds or interact with someone as a friend/companion (Score if the inmate has no social relationships with anyone other than a relative).
 3. Determine if companions the inmate spends significant time with are criminal influences. (This item must always be scored if currently incarcerated).
 4. Determine if the inmate has any companions that engage in criminal behavior or have criminal records (Score if "yes").
 5. Determine how many pro-social companions the inmate knows. (The inmate must have at least two (2) pro-social companions in order not to score this item).
 6. Determine how many pro-social companions the inmate knows. The inmate needs at least two (2) companions that have never been in trouble and are an active part of the inmate's life. The inmate must not engage in anti-social behavior while with the pro-social companion(s) (Score "yes" if ≤ 2 pro-social friends).
- H. LSI-R assessment subgroup 8 – ALCOHOL/DRUG PROBLEMS.
1. The case manager will develop an alcohol/drug profile based upon the inmate's use of alcohol and/or drugs and affects upon his/her life.
 2. Determine if the inmate has ever had an alcohol problem (Score based on presence of negative impact on life – multiple arrests, work problems, health problems, financial problems, etc.).
 3. Determine if the inmate has ever had a drug problem (Score based on the same criteria as the alcohol problem).
 4. Determine if the inmate currently has an alcohol problem (Score if the alcohol problem affects more than one life area).
 5. Determine if the inmate currently has a drug problem (Score if the drug problem affects more than one life area).

6. Determine if the inmate's drug or alcohol problems have contributed or may contribute to law and/or supervision violations (Score if "yes"). Do not score for drug possession or involvement in drug transactions that are purely for financial gain).
 7. Determine if alcohol/drug use has contributed to family/marital problems (Score if "yes").
 8. Determine if alcohol/drug use has contributed to school/work problems (Score if "yes").
 9. Determine if alcohol/drug use has contributed to medical problems. Medical problems include injuries sustained due to be intoxicated, hepatitis, sleep loss, memory loss, weight loss, suicide attempts or other health related items contributed to alcohol/drug use. (Score if "yes").
 10. Determine if the inmate has other behavioral proof of a drug or alcohol problem; i.e. difficulty cutting back on usage, sneaking drinks or fixes, blackouts, IV drug use. (Score if other indicators of an alcohol/drug problem exist).
- I. LSI-R assessment subgroup 9 – EMOTIONAL/PERSONAL.
1. The case manager will develop an emotional/personal profile based on the inmate's overall mental health.
 2. Determine if the inmate is having any moderate psychological issues that interfere with social functioning; i.e. mild anxiety, insomnia, or mild depression (Score if the interviewer feels moderate psychological issues are present).
 3. Determine if the inmate is having severe psychological issues such as: excessive sweating, delusional thinking, rambling conversations, odd or strange verbalizations, extreme passivity or aggression, verbal abusiveness or hallucinations (Score if these behaviors are present).
 4. Determine if the inmate has had mental health treatment in the past. This includes substance abuse treatment or counseling sessions with social workers or school counselors (Score if the inmate was seen by a counselor and treatment was received).
 5. Determine if the inmate is currently receiving mental health treatment/services or has received treatment/services in the past year.
 6. Determine if the inmate has undergone a mental health evaluation or assessment within the last year and problems or behavior disorders were indicated, or the inmate has a diagnosed mental health disorders (Score if "yes").
- J. LSI-R assessment subgroup 10 – ATTITUDE/ORIENTATION.
1. The case manager will develop an overall profile of the inmate's attitude and orientation relative to his/her current situation.
 2. Determine if the inmate's attitude is supportive of crime, such as the inmate placing a value on criminal behavior or minimizing his/her participation in committing a crime (Score if the inmate fits this profile).
 3. Determine if the inmate's attitude is unfavorable toward non-crime alternatives, such as the inmate working and going to school, but still using drugs, engaging in violent behavior, etc. (Score if the inmate fits this profile).

4. Determine if the inmate's attitude is unfavorable toward his/her sentence (Score if the inmate deems his/her sentence to be "unfair", or places blame on others for his/her sentence, i.e. the judge or an attorney).
5. Determine if the inmate's attitude is unfavorable toward his/her classification or placement (Score if the inmate objects to his/her classification and/or placement).

3. Risk/Needs Levels Based on the LSI-R Score:

- A. Inmate LSI-R Risk/Needs levels are determined by the following scores:
 1. **High Risk/Needs** – score of 40 or higher on the LSI-R assessment.
 2. **Moderate High Risk/Needs** – score of 33-39 on the LSI-R assessment.
 3. **Moderate Risk/Needs** – score of 26-32 on the LSI-R assessment.
 4. **Low Moderate Risk/Needs** – score of 19-25 on the LSI-R assessment.
 5. **Low Risk/Needs** – score of 0- 18 on the LSI-R assessment.

4. High Risk Response:

- A. An inmate with an LSI-R score of =>40 for females or =>37 for males will have a P-Scan completed by the Admissions Case Manager upon completion of the LSI-R, or prior to a staff member making a referral to the Risk Reduction Manager.
 1. Male inmates scoring 37+ on the LSI-R will have a PSCAN completed by Admissions Case Managers prior to being transferred out of the Admissions Unit. Female inmates scoring High Risk/needs may not be placed in minimum custody placement during the 90-day observation period or prior to receiving a P-Scan.
- B. An inmate who scores =>30 on the P-Scan will be referred to a clinical psychologist for further comprehensive psychological review.
 1. Inmates who score => 30 on the P-Scan will not be placed in a minimum custody placement prior to a psychological review.
 2. Inmates referred to a psychological review that refuse to participate in the evaluation will sign a program refusal form and will have a non-compliance rating on their IPD.
- C. Inmates who have been identified as Level 2 or Level 3 System Risk in accordance with DOC policy 1.4.G.6 [System Risk Classification](#) will not be placed in minimum custody placement.

5. Assessments Used in Case Planning:

- A. INTAKE PROCEDURE – Inmates will undergo the following assessments to determine program needs, facility placement, housing placement and supervision level.
 1. CD ASSESSMENT:
 - a. Deferred diagnosis: **2.**

- b. Alcohol abuse: **3**.
 - c. Alcohol dependence: **4**.
 - d. Drug dependence: **5**.
 - e. Alcohol and drug dependence: **6**.
 - f. Not assessed/refused assessment: **7**.
2. MENTAL HEALTH ASSESSMENT:
- a. No restrictions: **NR**.
 - b. Periodic access - Mental Health staff available five (5) days a week: **PA**.
 - c. Special needs: **SN**.
 - d. Twenty-four (24) hour a day mental health access required. **HA**
 - e. Periodic access: Severe Mental Illness: **PA SMI**.
 - f. Special needs: Severe Mental Illness: **SN SMI**.
 - g. All inmates will receive a level of functioning assessment at the time of intake.
3. EDUCATION ASSESSMENT:
- a. GED needed: **Y/N**.
 - b. GED and Literacy needed: **Y/N**.
4. MEDICAL ASSESSMENT:
- a. No restrictions: **NR**.
 - b. Periodic access: medical services are provided daily: **PA**.
 - c. Twenty-four (24) hour access to medical services: **HA**.
 - d. Handicapped facility required: **HC**.
 - e. Medical services are provided weekly: **OA**.
5. STOP:
- a. Sexual behavior issue present – recommended for treatment: Coded as a **2** with a **Y** on recommendations.
 - b. Sexual behavior issue present – not recommended for treatment: Coded as a **2**, with a **N** on recommendations.
 - c. No sexual behavior Issues – no sex offender assessment required: Coded as a **1** with a **N** on recommendations.

6. PREA INMATE ASSESSMENT:
 - a. Identified as a potential sexual aggressor: **AP** – institutional code.
 - b. Identified as a potential sexual victim: **VP**.
 - c. Identified as a potential sexual aggressor in the community: **AP** – community code.
 - d. No score on the PREA assessment: **NS**.
 - e. Mixed: **MX**.
7. AIMS ASSESSMENT: (Males only)
 - a. AIMS **A** – Aggressive personality characteristics – leaders.
 - b. AIMS **B** – Aggressive personality characteristics.
 - c. AIMS **C** – Normal aggressive/passive personality characteristics.
 - d. AIMS **D** – Passive personality characteristics – followers.
 - e. AIMS **E** – Extremely passive personality characteristics – followers.
8. CUSTODY CLASSIFICATION:
 - a. Maximum custody: **MX**.
 - b. High medium custody: **HM**.
 - c. Low medium custody: **LM**.
 - d. Minimum custody: **MN**.
9. COMMUNITY RISK:
 - a. Intensive supervision: **INT**.
 - b. Maximum supervision: **MAX**.
 - c. Medium supervision: **MED**.
 - d. Minimum supervision: **MIN**.
10. LSI-R ASSESSMENT:
 - a. High risk/needs: **H**.
 - b. High moderate risk/needs: **HM**.
 - c. Moderate risk needs: **M**.
 - d. Low moderate risk/needs: **LM**.

e. Low risk/needs: **L**.

11. System Risk Classification:

- a. Level 3 High
- b. Level 2 Moderate-high
- c. Level 1 Low-moderate
- d. Level 0 Low

B. Following each listed assessment are the programming options or system response service access requirements that are possible assessment outcomes.

6. LSI-R Case Planning:

A. When a course/program is required based on an assessment recommendation within the context of an inmate's individual program directive (IPD), compliance will be determined in accordance with DOC policy 1.4.B.1 *Individual Program Directives (IPD)*.

- 1. A course/program is "required" within the context of IPD and centralized scheduling procedures.
- 2. An inmate who is "required" to participate in a program but is not scheduled to participate will not be considered non-compliant with that element of his/her IPD.
- 3. If an inmate is "required" to participate in a program and is placed in that program, his/her refusal to participate adequately will result in a non-compliant rating.

B. CRIMINAL HISTORY (subgroup):

- 1. If the criminal history score is = or > 6: Thinking for a Change is required.
- 2. If the inmate has a conviction for a violent crime in the past two (2) years: anger/stress management is recommended.

C. EDUCATION/EMPLOYMENT:

- 1. Refer for a Test of Adult Basic Education (TABE) if the inmate is identified through LSI-R assessment as not having a GED or high school diploma.
- 2. If the inmate is referred for the TABE test, use recommendations of the educational staff for required programming.
 - a. GED/Literacy.
 - b. GED.
- 3. Offenders who meet any of the following criteria will be recommended for employability programming.
 - a. Offender had three (3) or more jobs in the last twelve (12) months in the community.

- b. Fired from a job in the last twelve (12) months in the community.
- c. Held only temporary jobs in the last two (2) years.
- d. Self-employed but did not maintain steady work.

D. FINANCIAL:

- 1. Credit where Credit is Due is recommended if the inmate scores on the financial section of the LSI-R. This item is scored if the offender meets one (1) of the following criteria:
 - a. Significant obligations for his/her IFR – amounts over \$10,000 total- child support, court costs, fines, restitution, etc.
 - b. The inmate was assessed with a gambling problem.
 - c. The inmate has considered or declared bankruptcy in the last two (2) years, or admits financial struggles.

E. FAMILY/MARITAL:

- 1. Parenting classes are recommended if the inmate has a family/marital score ≥ 2 and has custody of children under age eighteen (18) with whom he/she is planning to reunite with, or the inmate is planning on having children. For females inmates– the inmate may be approved to participate in the Parents and Children Together (PACT) program.
- 2. Domestic violence prevention is recommended for female inmates who are a victim of domestic violence in the last five (5) years.
- 3. Domestic violence programming is recommended for male inmates who have a history of domestic violence on record in last five (5) years.

F. ACCOMMODATION:

- 1. Release planning to a halfway house or Community Transition Program (CTP) is recommended if the accommodation score is ≥ 2 and the inmate plans on paroling to a high crime neighborhood.
- 2. The transition case manager will be notified if the inmate plans to release to CTP.

G. LEISURE/RECREATION:

- 1. If the inmate scores ≥ 1 , counsel the inmate on activities available; i.e. recreational opportunities, religious activities, inmate organizations.
- 2. There is not programming available to the inmate for this subgroup but it will be included as a “sub-factor” in case planning.

H. COMPANIONS:

- 1. If the companions score is ≥ 2 , counsel the inmate on companion activities available to him/her; i.e. M-2/W-2.

2. There is not programming available to the inmate for this subgroup but it will be included as a "sub-factor" in case planning.
- I. ALCOHOL/DRUG:
 1. Each inmate will receive a CD assessment upon admission.
 2. The CD assessment recommendation will be used for program determination.
 - J. EMOTIONAL/PERSONAL:
 1. If the inmate is scored on either item 46 or 47, he/she will be referred to Mental Health for a consultation.
 2. The referral will include all information self disclosed by the inmate during the LSI-R interview that prompted the Case Manager to score that item.
 - K. OVERALL LSI-R SCORE:
 1. If the inmate scores ≥ 33 on the LSI-R assessment, MRT will be required program and will be added to their IPD Individual Program Directive.

7. Programming:

- A. Attendance rosters will be developed based on inmates' overall need for the following:
 1. Chemical dependency.
 2. Academic.
 3. Cognitive Programming.
 4. Employment.
 6. The scheduling office will notify program staff of the inmate roster approximately two (2) weeks prior to the start of a treatment program.
 7. Designated staff will develop a priority list for the programs in each facility.
- B. Inmates who are work release eligible will typically be scheduled for programming prior to their work release eligibility date.
 1. Chemical dependency:
 - a. Facility where the program will be held (The query will include all inmates eligible for that facility at the time of the query).
 - b. Program recommendation (CD/NACD/IMT/METH/INTENSIVE).
 - c. LSI-R score.
 - d. Earliest time to presumptive parole.

- e. Earliest time to discretionary parole.
 - f. Earliest time to discharge.
2. GED:
- a. Facility.
 - b. Program recommendation.
 - c. TABE test scores.
 - d. LSI-R score.
 - e. Earliest time to presumptive parole.
 - f. Earliest time to discretionary parole.
 - g. Earliest time to discharge.
3. Cognitive Programs (T4C, MRT):
- a. Facility
 - b. Program recommendation.
 - c. LSI-R score.
 - d. Earliest time to presumptive parole.
 - e. Earliest time to discretionary parole.
 - f. Earliest time to discharge.

V Related Directives:

- DOC policy 1.4.B.1 – [Individual Program Directives \(IPD\)](#)
- DOC policy 1.4.B.2 – [Male Inmate Classification](#)
- DOC policy 1.4.B.14 – [Female Inmate Classification](#)
- DOC policy 1.4.G.6 -- [System Risk Classification](#)
- DOC policy 1.5.G.2 – [Community Transition Program](#)
- DOC policy 1.5.G.4 – [Community Risk Assessment and Supervision of Offenders](#)
- DOC policy 1.5.G.6 – [Response to Violations](#)

VI Revision Log:

January 2008: New policy.

August 2008: Revised formatting of policy and attachment in accordance with DOC policy 1.1.A.2. **Added** statement regarding having 3 or more jobs in past 12 months or being employed by day labor in ss (B2b1) and **added** statement regarding scoring if offender is identified as having a gambling problem in ss (C2a) of LSI-R Interview Scoring Guide. **Added** “= to or” in ss (B1), **deleted** statement regarding criminal history score is > 6 and **added** statement regarding conviction in the past 2 years in ss (B2 of LSI-R Case Planning. **Added** new ss (C3 of Education/Employment). **Replaced** statement regarding a financial score ≥ 1 with scores on the financial section of the LSI-R in ss (D1) and **added** ss (D1c) of Financial section. **Added** LSS Fathers and Families Program in ss (E1), **deleted** statement

regarding family/marital score of ≥ 2 and **added** comment regarding the last 2 years in the community in ss (E2) of Family/Marital section. **Replaced** "March" with "October" in ss (A of Policy Implementation). **Added** DOC policy in section V. **Revised** other minor grammatical and wording throughout policy.

August 2009: **No Revision**, policy continued to be under review in relation to the Adult Re-entry initiative.

August 2010: **Revised** formatting of Section 1. **Revised** definition of LSI-Core to reflect current programming. **Added** reference to institutional as it relates to escape in definition of Custody Classification Risk. **Revised** definition of Scheduled Programs to reflect current programming. **Replaced** Commitment to Change or Corrective Thinking with Thinking for a Change throughout policy. **Replaced** assessment completed before transferring from A&O to completed along with trailer assessments in ss (B1) and **revised** ss (B3) to refer to CD, Mental Health and Education staff based on LSI-R results and trailer assessments both within (Initial Assessments). **Replaced** "impact of crime on victims" with "anger/stress management" within ss (B1), **replaced** "Financial Responsibility" with "Credit where Credit is Due" in ss (D1), **deleted** former ss (E2) regarding male and female having domestic violence arrests within the past two years, **revised** numbering and **added** new ss (E3) all within (LSI-R Case Planning). **Replaced** one month with two weeks regarding notification to program staff within ss (C2), **added** current programs in ss (D4), **added** age in new ss (D4d) and **revised** subsequent sequencing within (Master/Modeling Assessment Priority System (MAPS)). **Deleted** Policy Implementation section regarding policy being implemented on or before October 1, 2008. **Revised** title of DOC policy 1.4.B.2 and **added** reference to DOC policy 1.4.B.14 throughout policy. **Revised** title of DOC policy 1.5.G.6.

January 2012: **Added** definition of MAPS. **Deleted** "seventy-two (72) hours" and **Replaced** with "five (5) working days (120 hours) and **Deleted** forty-eight (48) and **Replaced** with "five (5) working days" in definition of Detainee. **Deleted** definition of "Working Day". **Added** definition of "Second Chance Act Evaluation Treatment Group". **Deleted** "Parole violators and CTP participants" from Section 1 B. **Deleted** title of Section 1 "Initial Assessment" and **Replaced** with "LSI-R Assessment". **Added** C. "Inmates admitted as a parole or suspended sentence violator, and community transition program participants who have returned for relapse purposes will have a LSI-R assessment completed by their unit team after transfer from the admissions unit." to Section 1. **Added** 1. "Typically the LSI-R assessment will be completed within one (1) month of the inmate's transfer from the admissions unit." to Section 1 C. **Added** D. "Inmates who are housed in the prison system and do not have a LSI-R assessment will have one completed by their unit team." to Section 1. **Added** E. "If staff believe that an inmate's LSI-R assessment is inaccurate, they will consult with the Classification and Transfer Manager to determine if the assessment needs to be redone." to Section 1. **Deleted** "Chemical Dependency" from Section 1 D 1. **Deleted** Section 2 LSI-R Reassessment and **Renumbered** sections that follow to reflect the change. **Deleted** "A&O" from "case manager" throughout the policy. **Added** 1. "Typically the LSI-R assessment will be completed within one (1) month of the inmate's transfer from the admissions unit" to Section 1 C. **Added** 2. "Inmates admitted as a parole or suspended sentence violator and community transition program participants will have an LSI-R completed prior to their next parole hearing." to Section 1 C. **Added** "In addition to sources identified with this policy" "other" "as they deem necessary" to Section 1 A. 2. **Added** "Sources: Criminal History sheet in legal or institutional file" to Section 2 A. 1. **Added** 1) "A search of the Comprehensive Offender Management System (COMS) will be completed for all inmates as part of the process of verifying juvenile history" to Section 2. A. 1. a. **Added** (or attempted escape) and "Eluding police" to Section 2 A. 2. g. **Added** "in which some sanction was imposed" to Section 2 A. 2. h. **Added** "DOC juvenile system information" "PSI" "parole" to Section 2 A. 2. j. **Deleted** "(This item will generally be scored yes)" and **Added** if the inmate has currently been incarcerated for more than two (2) years, employment history while incarcerated is scored" to Section 2 B. 2. a. **Added** "If incarcerated for more than 2 years score" and **Added** "if incarcerated less than two (2) years score twelve (12) months prior to incarceration" to Section 2 B. 2. b. 3. **Added** "score if both parents are deceased or one parent is deceased and the other parental relationship is non-rewarding" to Section 2 D. **Added** "Score based on 12 months prior to incarceration if incarcerated less than 2 years, if he/she has been incarcerated greater than 2 years score based on last 12 months of incarceration)" to Section 2 E.3 **Deleted** "i.e. ex co-workers,

classmates, people in the neighborhood, (Score if the inmate's acquaintances are supportive of crime or engage in criminal behavior. If criminal records are present, but the acquaintances are now clearly pro-social do not score" and **Replaced** with "This items must always score if currently incarcerated)") to Section 2 G. 3. **Deleted** "or less than 20% of total pro-social friends are present in the inmates lice in Section 2 G. 6. **Deleted** "i.e. care accidents, sprains/strains due to fall and fights" and **Replaced** with hepatitis, sleep loss, memory loss, weight loss suicide attempts or other health related items the alcohol/drug use contributed to" in Section 2 H. 9. **Deleted** High Risk/Needs score 41 and **Replaced** with 37. **Deleted** Moderate High Risk/Needs score 33-40 and **Replaced** with 31-36. **Deleted** Moderate Risk/Needs score 24-32 and **Replaced** with 23-30. **Deleted** Low Moderate Risk/Needs score 14-23 and **Replaced** with 16-22. **Deleted** Low Risk/Needs score 13 and **Replaced** with 15, all in Section 3 **Created** new Section 4 "High Risk Response" **Added** "Females => 40 Males =>37 and **Deleted** "by the same A&O case manager who completed the LSI-R assessment" and **Replaced** with "after 90 days of observation by unit staff assigned to the inmate." to Section 4 A. **Deleted** "High Risk" and **Replaced** with +> and **Deleted** "Mental Health staff for a" and **Replaced** with "a clinical psychologist for further" in Section 4 B. **Deleted** D. "If an inmate is determined by Mental Health staff to score at the Psychopath level of the HARE, this will be communicated to the referring A&O case manager and to the Central Scheduling Office for consideration regarding program placement" in Section 4. **Deleted** 6. "Identified victim of a sexual assault inside an institution: VI" from Section 5 A. **Deleted** c. "Identified as a potential sexual aggressor in the community" AP- Community from Section 5 A. 6. (PREA Inmate Assessment) **Added** "Males only" to Section 5 A. 7 **Deleted** "Fathers and Families Program classes" and **Replaced** with Responsible Fatherhood Program" in Section 6 E. 1. **Deleted** "If there is any indication of an inmate alcohol or drug problem based on the LSI-R assessment, he/she will be referred for a CD assessment." and **Replaced** with "Each inmate will receive a CD assessment upon admission." in Section 6. I 1. **Added** C. "Unit staff are required to notify designated law enforcement agencies when an inmate with a MAPS score of 20 or more is scheduled to release to parole, suspended sentence, Community Transition Program (CTP) or discharge." to Section 7 **Deleted** "STOP" from Section 7 D. 1. **Deleted** "score out as a psychopath on the HARE" and **Replaced** with "are identified as inappropriate for group programming through psychopathy screening" and **Added** "or priority placements to accommodate SCA evaluation treatment group participants." to Section 7 E. **Added** F. to Section 7. **Deleted** 2. STOP a.-h. in Section 7. **Removed** 3. "Inmates without a LSI-R completed will have the old Corrective Thinking score used to determine eligibility for Thinking for a Change classes. Those inmates without any type of Corrective Thinking Assessment will need to complete the assessment. The priority for those inmates without an LSI-R will be as follows:" and a.- f. in Section 7 F.

October 2012: **Added** definition of "Extended Detainee" and **Added** "extended detainee" to definition of "New Admission". **Deleted** "following their transfer from admissions by their unit team" and **Replaced** with "as of October 31, 2012 or whose LRI-R was a "test" in 2005" and **Added** "by April 30, 2013" in Section 1 D. **Deleted** "Classification /Transfer Manager" and **Replaced** with "Risk Reduction Manager" in Section 1 E. **Renumbered** 1-5 in Section 1 E. to F-G **Added** "scoring "High Risk/Needs" and "or prior to a P-Scan" to Section 4. A. 1. **Added** 1. "Inmates who score => on their P-Scan will not be placed in a minimum custody placement prior to a psychological review" in Section 4 B **Added** C. to Section 4. **Deleted** "Unit staff are required to notify designated law enforcement agencies when an inmate with a MAPS score of 20 or more is scheduled to release to parole, suspended sentence, CTP or discharge" and **Replaced** with "Inmates with a MAPS score of 20 or more must be reviewed for possible inclusion in the "High Risk" list" in Section 7 C.

August 2013: **Deleted** D. "Inmates who are housed in the prison system as of October 31, 2012 and do not have a LSI-R assessment, or whose LSI-R was a "test" in 2005 will have an assessment completed by April 30, 2013" in Section 1.

August 2015: **Deleted** definition of "MAPS" and "Second Chance Act Evaluation Treatment Group". **Deleted** definition of "LSI-R Risk" "Detainee". **Deleted** "Job Search Assistance Program and Credit where Credit is Due" and **Added** "T4C, Moral Recognition Therapy (MRT)" to definition LSI-Core. Revised definition of "Extended Detainee" **Deleted** "Job Search" and Credit where Credit is Due from definition of "Scheduled Programs" **Added** "parole violators, and suspended sentence violators admitted from the community. (A new LSI-R is not completed on suspended sentence violators who

have their suspended sentence imposed prior to release to the community” in Section 1 B. **Deleted** C. “Inmates admitted as a parole or suspended sentence violator and CTP participants who have returned for relapse purposes will have a LSI-R administered by their unit team after transfer from the A&O unit” in Section 1 C. **Deleted** 1-2 in Section 1. C. **Deleted** “new admission” and **Deleted** “(and inmates returning for relapse purposes)” in Section 1 F. **Deleted** “37” and **Replaced** with “40” in Section 3 A. 1. **Deleted** “31-36” and **Replaced** with “33-39” in Section 3 A. 2. **Deleted** “23-30” and **Replaced** with “26-32” in Section 3 A. 3. **Deleted** “16-22” and **Replaced** with “19-25” in Section 3 A. 4. **Deleted** “15” and **Replaced** with “18” in Section 3 A. 5. **Deleted** “and scoring High Risk/Needs” and **Replaced** with “with an LSI-R of” and **Deleted** “after 90 days of observation by staff assigned to the inmate” and **Replaced** with “by the Admissions Case Manager upon completion of the LSI-R or prior to a staff member making a referral to the Risk Reduction Manager” in Section 4 A. **Added** “Male inmates scoring 37+ on the LSI-R will have a PSCAN completed by Admissions Case Managers prior to being transferred out of the Admissions Unit. Female” to Section 4 A. 1. **Added** 2. to Section 4 B. **Added** 11. to Section 5 A. **Deleted** “c. and d.” reference “Unconvicted Sex Offender” in Section 5 A. 5. **Added** g. to Section 5 A. 2. **Deleted** “JSAP” and **Replaced** with “employability programming” in Section 6 C. 3. **Deleted** e. in Section 6 C. 3. **Deleted** “and/or Lutheran Social Services Responsible Fatherhood Program” in Section 6 E. 1. **Deleted** 3. “If the inmate is not referred to CD, a deferred diagnosis will be placed on the mainframe screen by CD staff” in Section 6 I. **Deleted** K. Attitude/Orientation” and **Replaced** with “Overall LSI-R Score” in Section 6. **Deleted** Section 7 MAPS. **Replaced** with “Programming”. **Deleted** “LSI-R Core Programming” and **Replaced** with “Cognitive Programming” in Section 7 A. 3. **Deleted** 4. Vocational Education” in Section 7 A. **Deleted** “Core” and **Replaced** with “Cognitive” and **Deleted** “JSAP, CWCD” and **Replaced** with “MRT” in Section 7 B. 3. **Deleted** d. “LSI-R alcohol/drug subgroup score” in Section 7 F. 1. **Deleted** e. MAPS” in Section 7 F. 1. **Deleted** e. “LSI-R education/employment subgroup score” and f. MAPS in Section 7 F. 2. **Deleted** d. Age and e. Criminal History and f. Attitude/orientation subgroup score and g. MAPS in Section 7 F. 3. **Deleted** 4 and 5 in Section 7 B. 4. **Deleted** C. 1. & 2. in Section 7. **Deleted** Attachment 1 “Vocational Education Needs Assessment”

Denny Kaemingk (original signature on file)

Denny Kaemingk, Secretary of Corrections

11/06/2015

Date