1.3.E.5 PREA Compliance with Prison Rape Elimination Act Standards

I Policy Index:

Date Signed: 06/18/2016
Distribution: Public
Replaces Policy: 1.3.E.4 Prevention of Inmate Sexual Assault/Rape
Supersedes Policy Dated: 05/06/2016
Affected Units: All Institutions
Effective Date: 06/20/2016
Scheduled Revision Date: May 2017
Revision Number: 2
Office of Primary Responsibility: DOC Administration

II Policy:

The South Dakota Department of Corrections (DOC) has a zero-tolerance policy relating to sexual abuse/harassment of an inmate. The DOC will cooperate in the investigation, discipline, and prosecution of anyone involved in a sexual abuse or sexual harassment of an inmate in a DOC facility or placement. This policy shall be followed in conjunction with DOC policy 1.1.C.3 Reporting Abuse or Neglect and mandatory reporting requirements. This policy does not apply to incidents of sexual assault/rape or harassment by an inmate against a staff member, visitor, volunteer or any other individual who has business with the DOC.

III Definitions:

Facility:
For the purpose of this policy, facility refers to individual inmate housing locations and campus within the South Dakota Department of Corrections. The facilities are:

- South Dakota State Penitentiary (including Jameson Prison Annex)
- Sioux Falls Community Work Center
- Mike Durfee State Prison
- Yankton Community Work Center
- Rapid City Community Work Center
- South Dakota Women’s Prison (including E Unit)
- Pierre Community Work Center

Inmate:
For the purposes of this policy, an inmate is an inmate in the custody of a DOC facility.

New Admission Inmates:
For the purposes of this policy, a new admission inmate is any inmate, parole violator, parole detainee or inmate from another jurisdiction temporary housed at a DOC facility who at the time of admission does not currently have a PREA screen or assessment on file, or 90 days or more has elapsed since the screen/assessment was last complete.
Gender identity:
Is the individual’s internal sense of being male or female. The way an individual expresses his or her gender identity is frequently called “gender expression,” and may or may not conform to social stereotypes associated with a particular gender.

Gender nonconforming: (Final Adult PREA Standards definition)
Means a person whose appearance or manner does not conform to traditional societal gender expectations.

Lesbian:
Term used to describe female-identified people attracted romantically, and/or emotionally to other female-identified people

Gay:
Term used to describe male-identified people attracted romantically, and/or emotionally to other male-identified people

Bisexual:
A person emotionally, physically, and/or sexually attracted to males and females. This attraction does not have to be equally split between genders and there may be a preference for one gender over others.

Transgender:
Means a person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.

Intersex:
Means a person who’s sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.

Youthful Inmate:
For the purpose of this policy, a youthful inmate is any inmate under the age of 18 at the time of sentence to the Department of Corrections as an adult.

PREA:

Sexual Abuse-Inmate on Inmate:
Sexual abuse of an inmate includes any of the following acts, if the inmate does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

1. Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
2. Contact between the mouth and the penis, vulva, or anus;
3. Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
4. Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.
Sexual Abuse-Staff on Inmate:
Sexual abuse of an inmate by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate:

1. Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
2. Contact between the mouth and the penis, vulva, or anus;
3. Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
4. Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
5. Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
6. Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1)-(5) of this section;
7. Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, and
8. Voyeurism by a staff member, contractor, or volunteer.

Voyeurism by a staff member, contractor, or volunteer means an invasion of privacy of an inmate by staff for reasons unrelated to official duties, such as peering at an inmate who is using a toilet to perform bodily functions; requiring an inmate to expose his or her buttocks, genitals, or breasts; or taking images of all or part of an inmate’s naked body or of an inmate performing bodily functions.

Sexual penetration by any person employed by the State of South Dakota or employed within any DOC facility with an inmate who is housed at a DOC facility (See SDCL § 24-1-26.1 and SDCL § 22-22-7.6).

Sexual Harassment-Inmate on Inmate:
Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate directed toward another inmate.

Sexual Harassment-Staff on Inmate:
Repeated verbal comments or gestures of a sexual nature to an inmate by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

Note:
The terms “Sexual Abuse or Sexual Harassment does not include:

- Custodial or medical personnel gathering physical evidence, or engaging in other legitimate medical treatment, in the course of investigating a sexual assault/rape.
- The use of a health care provider’s hands or fingers, or the use of medical devices in the course of appropriate medical treatment unrelated to a sexual assault/rape.
- The use of a health care provider’s hands or fingers and the use of instruments to perform body cavity searches in order to maintain security and safety within a facility. This exemption is contingent upon the search being conducted in a manner consistent with constitutional requirements and DOC policies 1.3.A.5 Searches – Institutions.
- Consensual sexual contact/activity between inmates. Although this type of behavior is prohibited (See Prohibited Act 4-3 in the South Dakota Department of Corrections).
Corrections Inmate Living Guide and Prohibited Act 5 in the South Dakota Department of Corrections Juvenile Inmate Living Guide).

Sexual Incident
For the purpose of this policy, a sexual incident is an investigative event involving alleged or potential sexual abuse or sexual harassment of an inmate.

Staff Member:
For the purposes of this policy, a staff member is any person employed by the DOC, full or part time, including an individual under contract assigned to the DOC, an employee of another State agency assigned to the DOC, authorized volunteers and student interns.

Juvenile Facility:
A juvenile facility is one that is primarily used for the confinement of juveniles where a majority (more than 50%) of the facility’s residents is under the authority and jurisdiction of juvenile justice agencies. It excludes facilities where the majority of the residents are non-delinquent youth such as those placed by the Department of Social Services or privately placed. It also excludes psychiatric residential treatment facilities (PRTF) that provide inpatient psychiatric services to benefit Medicaid-eligible youth and intensive residential treatment facilities (IRT) that are licensed by the Department of Social Services to provide more intensive and frequent services and supervision of youth.

Unit Team:
A team of correctional staff assigned to a particular housing unit of an adult facility, usually consisting of a unit manager, case manager and unit coordinator.

IV Procedures:

1. Approach:

A. The Department of Corrections (DOC) has zero tolerance for sexual abuse/sexual harassment in its facilities. Staff at each facility shall investigate all allegations of sexual abuse/sexual harassment, offer services to all identified victims, and respond to every identified perpetrator – including referral to law enforcement and prosecutors.

B. PREA staffing.

1. The DOC will employ at least one PREA Coordinator. The Coordinator will develop, implement, and oversee department compliance with PREA standards in all DOC facilities. Duties shall include (but are not limited to):

a. Development, review and analysis of PREA policies.

b. Develop compliance files and maintain documentation required by PREA standards.

c. Oversight and assistance with PREA education and training for staff, inmates, contractors and volunteers.

d. Review of investigations into allegation of sexual abuse and sexual harassment of inmates.

e. Visiting DOC facilities to monitor compliance with PREA standards and assist the facility PREA Compliance Managers.
f. Facilitate data submission to U.S. Department of Justice (DOJ) and facilitate the agencies annual data review.

g. Develop and maintain department MOU’s for investigations, victim services and prosecutors.

h. Schedule and maintain documentation of facility PREA audits.

i. Provide input concerning contracts with vendors that have contact with inmates.

j. Coordinate with outside entities, such as victim advocates, SANE’s, medical and mental health providers, investigating agencies, prosecutors and others as needed to meet PREA compliance.

k. Work with the PREA auditor and prepare for PREA audits.

l. Communicate with administrative staff regarding progress and needs to assure PREA compliance.

m. Keep up-to-date with all new information concerning PREA standards.

2. Each DOC facility will designate a PREA Compliance Manager, who will have sufficient time and authority to coordinate the facility’s compliance with the PREA standards. The PREA Compliance Manager duties shall include but are not limited to:

a. Knowledge of PREA standards, compliance measures and audit requirements for PREA Compliance Managers.

b. Review facilities practices and procedures for compliance with PREA standards.

c. Develop facility level compliance files and maintain documentation required by PREA standards.

d. Documentation and tracking of all sexual abuse and sexual harassment allegations made within the facility.

e. Assist in developing a facility level staffing plan.

f. Assist in responding to allegations of sexual abuse in another facility.

g. Team Leader position in facility sexual incident reviews.

h. Prepare with the PREA Coordinator to develop a 'Pre-Audit' evaluation of the facility.

i. Ensure inmate PREA education is continuously and readily available to inmates at the facility.

j. Report and coordinate with PREA Coordinator on relative information and needs.

k. Facility level PREA contact person.

C. Any unwanted sexual contact from an inmate is sexual abuse. Sexual abuse does not have to be a violent act. If the victim feels they would be harmed or retaliated against if they refused to participate, it is sexual abuse. Sexual abuse is a violation of institutional rules. Incidents of sexual abuse may be referred to law enforcement and prosecutors (See DOC Inmate Living Guide and Juvenile Inmate Living Guide).
1. Consensual sexual contact from another inmate is not sexual abuse but is a violation of institutional rules and may result in disciplinary action (See DOC policy 1.3.C.2 Inmate Discipline System).

D. Any sexual contact between a DOC staff member and an inmate is expressly forbidden and is a violation of DOC policy and a violation on South Dakota law (See DOC policy 1.1.C.1 Code of Ethics and SDCL § 22-6-1).

1. An inmate may only be disciplined for sexual contact with staff if the staff member did not consent to the sexual contact.

E. Sexual harassment from one inmate to another is a violation of institutional rules and will not be tolerated.

1. Staff is required to report all suspected incidents of inmate on inmate sexual harassment.

2. All reported incidents of inmate on inmate sexual harassment will be investigated.

F. Staff shall not engage, participate, or otherwise take part in any incident of sexual harassment of an inmate.

1. Sexual harassment of any inmate by a staff member is expressly forbidden (See DOC policy 1.1.C.10 Harassment).

2. Staff is required to report directly to their supervisor all suspected incidents of staff on inmate sexual harassment.

2. Prevention of Sexual Abuse/Harassment:

A. Inmates under the age of 18 will not be placed in a housing unit where the inmate will have sight, sound, or physical contact with any adult inmate through use of a shared dayroom, or other common space, shower area, or sleeping quarters.

1. When an inmate under the age of 18 has sight, sound or physical contact with adult inmates, direct staff supervision is required (See DOC policy 1.1.A.8 Adult and Juvenile Joint Transportation Procedures).

2. Inmates under the age of 18, sentenced by the court as an adult to the custody of SD DOC, will be housed in a designated facility with like inmates under the age of 18.

B. All contracts with public or private facilities for incarceration of adult inmates and contracts with juvenile facilities to provide confinement services for juvenile inmates will include the facility’s obligation to adopt and comply with the PREA Standards.

C. PREA Coordinators will ensure contracted facilities comply with applicable PREA standards. Each Warden or Superintendent will develop, document, and ensure the facility and its staff makes its best efforts to comply on a regular basis with a staffing plan that provides for adequate levels of staffing, and, where applicable, video monitoring, to protect inmates against sexual abuse. In calculating adequate staffing levels and determining the need for video monitoring the following will be considered:

1. Generally accepted detention and correctional practices;
2. Any judicial findings of inadequacy;

3. Any findings of inadequacy from federal investigative agencies;

4. Any findings of inadequacy from internal or external oversight bodies;

5. All components of the facility's physical plant (including “blind-spots” or areas where staff or inmates may be isolated);

6. The composition of the inmate population;

7. The number and placement of supervisory staff;

8. Inmate programs occurring during a particular shift;

9. Any applicable state or local laws, regulations, or standards;

10. The prevalence of substantiated and unsubstantiated incidents of sexual abuse; and

11. Any other relevant factors.

D. The Warden of each facility or his/her designee will consult with the PREA Coordinator on an annual basis to assess, determine, and document whether adjustments are needed at each DOC facility housing inmates. The following will be reviewed and adjusted as needed:

1. The staffing plan established pursuant to this policy;

2. The facility’s deployment of video monitoring systems and other monitoring technologies; and

3. The resources available to ensure adherence to the facility’s staffing plan.

E. In circumstances where the facility is not compliant with the staffing plan, designated facility staff will document the reasons for the deviation from the approved staffing plan. A copy of this report will be sent to the Warden, the facility PREA Compliance Manager and PREA Coordinator.

F. When designing or acquiring any new facility and planning any substantial expansion or modification of existing DOC facilities, or when installing or updating a video monitoring system, electronic surveillance system or other monitoring technology in a DOC facility housing inmates, the DOC will consider the effect of the design, acquisition, expansion, or modification upon the staff’s ability to protect inmates from sexual abuse/harassment.

1. The physical plant manager will complete the Upgrades to Facilities and Technology form (See Attachment 1) describing any such installation, upgrade or modifications in monitoring equipment at the facility. A copy of this report will be sent to the Warden or Superintendent or his/her designee.

G. When installing or updating a video monitoring system, electronic surveillance system, or other monitoring technology in a DOC facility where inmates are housed, staff will consider the impact
potential changes in technology may have on the facility’s ability to protect inmates from sexual abuse and report this on the Upgrades to Facilities and Technology form (See Attachment 1).

1. A copy of this report/form will be sent to the Warden or Superintendent or his/her designee, the facility PREA Compliance Manager and the DOC PREA Coordinator.

H. Each facility’s staffing plan will be reviewed annually for any adjustments, additions or updates prior to the budget review (See Attachment 4).

1. Once completed, the facility staffing plan recommendations will be forwarded to the Warden, Secretary of Corrections and other designated staff for review and approval.

2. Once approved, the staffing plan will be forwarded to the Facility Compliance Manager and the DOC PREA Coordinator.

3. **Screening for Risk of Sexual Victimization and Abusiveness:**

A. Within 72 hours of the inmate’s admission to a DOC facility, the facility’s admissions and orientation unit shall obtain and use information about the inmate’s personal history and behavior to reduce the risk of sexual abuse by the inmate or upon the inmate (See DOC policies 1.4.B.16 PREA Institutional Risk Screens and 1.4.A.2 Inmate Admission).

1. Assessments will be conducted using an objective screening instrument by trained DOC staff.

B. For new admissions to a facility, the inmate’s behavior history will be assessed again within 30 days by staff trained in the PREA Screen to determine the inmate’s potential risk of sexual vulnerability or potential to exhibit sexually assaultive behavior. The PREA Risk Screen Review will be used to determine the inmate’s institutional PREA Code.

1. A PREA Risk Screen Review will be completed on inmates in accordance with DOC policy 1.4.B.16 PREA Institutional Risk Screens.

C. DOC staff will use the inmate’s PREA Screen scores, assessments and relevant risk information when determining an inmate’s housing assignments, work assignments and educational and programming placement.

D. Inmates determined through the PREA Screen to have a high risk of victimization will not be housed in involuntary segregation housing as a means of separation from potential abusers, unless a Protective Custody Hearing Board determines there is no alternative means of separation from likely abusers.

E. **Medical and Mental Health.**

1. If the Admissions Risk Screening indicates an inmate has either previously perpetrated sexual abuse or has experienced prior sexual victimization, whether in an institutional setting or the community, staff will ensure the inmate is offered a follow-up meeting with Correctional Behavioral Health staff within 14 days of the intake screening.

2. Any information related to sexual victimization or abusiveness that occurred in an institutional setting will be strictly limited to medical and behavioral health and other DOC staff, as necessary. The information will be used to develop treatment plans and guide security and management decisions; i.e. housing, bunk, work, education, and program assignments.
3. Medical and behavioral health staff will obtain a signed informed consent form from the inmate before reporting information about prior sexual victimization that did not occur within an institutional setting, unless the inmate is under the age of 18. The signed informed consent form will be kept in the inmate’s medical file.

4. Inmate Education:

A. During the initial PREA Screen process, inmates will receive information explaining the agency’s zero-tolerance policy regarding sexual abuse and sexual harassment and how to report incidents or suspicions of sexual abuse or sexual harassment.

   1. This will be documented with the Acknowledgement of Prevention of Sexual Abuse/Harassment Information form (See Attachment 2).

B. Staff assigned to the admissions and orientation (A&O) units (Jameson Annex and SDWP) will provide comprehensive education/information to inmates, either in person or through video, describing the inmate’s right to be free from sexual abuse and sexual harassment, freedom from retaliation for reporting such incidents, and information about DOC policies and facility procedures for responding to such incidents.

   1. This education/information will be provided to the inmate within thirty (30) days of admission.

      a. This will be documented with the Acknowledgement of Prevention of Sexual Abuse/Harassment Information form (See Attachment 2)

C. Current inmates who have not received information explaining the DOC’s zero-tolerance policy regarding sexual abuse and sexual harassment and how to report incidents or suspicions of sexual abuse or sexual harassment will receive the education/information from unit staff at the inmates’ case planning and review (See DOC policy 1.1.E.6 Case Management).

   1. Inmates who do not have a signed Acknowledgement of Prevention of Sexual Abuse/Harassment Information form on file will be offered a video presentation of the information.

   2. Once completed, the inmate will sign the Acknowledgement of Prevention of Sexual Abuse/Harassment Information - Part 2 Comprehensive PREA Education (See Attachment 2) and the information entered in COMS/Assessments/Assessment Type: PREA Admissions and Orientation class.

   3. All inmates housed at a DOC facility will have been offered a comprehensive PREA Education and have a signed Acknowledgement of Prevention of Sexual Abuse/Harassment Information form within six (6) months of the implementation date of this policy.

D. The facilities will provide inmate education in formats accessible to all inmates, including those who are limited English proficient, deaf, visually impaired, intellectually disabled, limited reading skills or otherwise disabled.

   1. The DOC will take reasonable steps to ensure meaningful access to all safeguards in place to prevent, detect, and respond to sexual abuse and sexual harassment to inmates who are limited English proficient, including steps to provide qualified interpreters.

      a. The facility will not rely on inmate interpreters, inmate readers, or other types of inmate assistants except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate’s safety.
5. Staff Training:

A. All new staff hires, volunteers and contract staff that may have contact with inmates will have training in their responsibilities under PREA (See DOC policy 1.1.D.1 Staff Training Requirements).

B. The annual staff in-service training will include a refresher PREA training to ensure all staff and others who may be required to attend the training know and are aware of the DOC’s current sexual abuse and sexual harassment policies and procedures.

C. DOC investigators who conduct sexual incident investigations will receive specialized training specific to conducting sexual incident investigations in a correctional/confinement environment.

   1. Specialized training will include but is not limited to:
      a. Techniques for interviewing sexual abuse victims.
      b. Proper use of Miranda and Garrity warnings.
      c. Sexual abuse evidence collection in correctional/confinement settings.

   2. The criteria and evidence required to substantiate a case for administrative action or prosecution referral.

   3. The training specialist for each facility will maintain documentation that staff investigators have completed the required specialized training.

D. All full-and part-time medical and mental health care practitioners will be trained in:

   1. How to detect and assess signs of sexual abuse and sexual harassment;
   2. How to preserve physical evidence of sexual abuse;
   3. How to respond effectively and professionally to victims of sexual abuse and sexual harassment; and
   4. How and to whom to report allegations or suspicions of sexual abuse and sexual harassment.

6. Victim Advocate/Rape Crisis Centers:

A. Inmates will have access to outside victim advocates. Inmates will be provided with the mailing addresses and telephone numbers (including toll-free hotline numbers where available) of local, state, or national victim advocacy or rape crisis organizations.

   1. The facility will inform inmates, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws (See DOC policy 1.5.D.4 Inmate Access to Telephones and DOC policy 1.5.D.3 Inmate Correspondence).

   2. The facility will maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide inmates with confidential emotional support services related to sexual abuse. The facility will maintain copies of agreements or documentation showing attempts to enter into such agreements.

B. The victim advocates provided by the rape crisis centers, qualified agency, qualified community-based organization through any agreement or memorandum with the DOC will have received specialized training from the DOC and will be sensitive to the issues surrounding abuse and sexual harassment.
1. The facilities will provide training on the victim advocate’s responsibilities under
the DOC’s sexual abuse and sexual harassment prevention, detection, and
response policies and procedures – including the DOC’s zero-tolerance policy
and how to report such incidents.

C. All victim advocates requesting access to DOC adult institutions will apply for an Orange
ID Card (See DOC policy 1.1.D.3 Facility Access & ID Requirements).

7. Gender Non-Conforming Inmates:

A. Lesbian, gay, bisexual, transgender, or intersex inmates will not be housed in dedicated
units or cells based solely on the basis of such identification or status.

B. Case Management placement and programming assignments for each transgender or intersex
inmate will be reassessed at least twice each year to review any threats to safety experienced by
the inmate.

C. Transgender and intersex inmates will be given the opportunity to shower separately from other
inmates.

D. Inmates who are gender non-conforming, transgender, or intersex have a procedure to review
their current gender status.

Gender Determination procedure:

1. The Gender Determination procedure will be initiated if staff identifies an issue regarding an
inmate’s gender determination (usually during A&O) or whenever the inmate provides a
written request for their gender determination to be reviewed.

2. Staff will then complete (with the inmate’s input) a Gender Determination Request (see
Attachment 5).

3. The Gender Non-conforming Committee (see Attachment 6) will then review all Inmate
Gender Determination Requests within 30 days of the facility PREA Compliance Manager
receiving the completed Gender Determination Request.

   a. The facility PREA Compliance Manager will be the meeting leader and organize and set
a date for the committee meeting.

   b. The committee will consider placement on a case-by-case basis. Factors to consider
shall include, the inmate’s health and safety and whether the placement may compromise
the safety and security of the facility, its staff and other inmates.

   c. When determining the appropriate and safe housing placement, the committee will
consider medical history, mental health status, inmate self-identification, and any other
relevant information.

   d. A gender non-conforming inmate’s own views with respect to his or her own safety will be
considered when making placement and programming assignments. (Inmate may be
choose to be present before the Committee).

4. The Gender Non-conforming Committee will then complete a Gender Non-conforming
Committee Response form (see Attachment 7)
a. The committee will summarize all of the items considered and list any recommendations in addition to the inmate status issue. Some (not all) additional recommendations may include:

1) Additional case management placement and programming reviews (minimum 2 per year).
2) Placement in a mental health counseling program (for issues related to gender identity).
3) Placement in a medical health monitoring program.

b. Inmates may not be recommended for involuntary segregation (protective custody) based solely on their high risk of victimization unless a review of all available alternatives has determined there are not other options available (see this policy- section 10. Response to Incidents, B.)

c. Since a change in gender status may affect an inmate’s placement (at a facility for male or female inmates, the Director of Prison Operations or designee shall review and approve of any recommendation of the Gender Non-conforming Committee. The decision to place an inmate at a particular facility may be appealed through the Administrative Remedy process (See DOC policy 1.3.E.2 Administrative Remedy for Inmates).

8. Reporting:

A. Each facility will provide multiple ways for inmates to privately report sexual abuse and sexual harassment, retaliation by other inmates or staff for reporting sexual abuse and sexual harassment, and staff neglect or violation of responsibilities that may have contributed to such incidents. The following are some (not all) of the ways an inmate may report:

1. Verbal report from inmate;
2. Written report (kite) from inmate;
3. Anonymous report to staff; or
4. Third party reports, including from other inmates, the public or a victim advocacy entity.

B. Each facility will provide at least one way for inmates to report abuse or harassment to a public or private entity or office that is not part of the DOC and is able to receive and immediately forward inmate reports of sexual abuse and sexual harassment to the DOC, allowing the inmate to remain anonymous upon request.

C. Staff are required to immediately report any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a DOC facility, retaliation against inmates or staff who reported such an incident; and any staff neglect or violation of responsibilities that may have contributed to an incident or retaliation. If a DOC staff member does not report it, they may be subject to disciplinary action, including termination of employment (See DOC policy 1.1.C.1 Code of Ethics).

1. If an inmate is subject to a substantial risk of imminent sexual abuse, staff will take immediate action to protect the inmate.
2. The DOC will have a method to receive third-party reports of sexual abuse and sexual harassment and will distribute publicly via the DOC website, information on how to report sexual abuse and sexual harassment on behalf of an inmate.

D. Upon receiving an allegation an inmate was sexually abused while confined at another facility, the Warden or staff person who received the allegation will notify the head of the facility or appropriate office of the agency where the alleged sexual abuse of the inmate occurred.

1. Such notification will be provided as soon as possible, but no later than 72 hours after receiving the allegation.

2. The DOC staff member providing such notice will document this has been provided to the facility. A copy of the notification shall be sent to the DOC facility PREA Compliance Manager and the DOC PREA Coordinator.

9. Investigations:

A. The DOC will respond to all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports. All allegations shall be promptly, thoroughly, and objectively investigated by designated staff (See DOC policy Response Investigation of Sexual Abuse-Harassment).

1. The sharing of information pertaining to the alleged sexual abuse/harassment and identity of the victim(s) will be limited to those who must know. Sharing information regarding the victim and/or incident will be conducted in a manner that is in accordance with all applicable policies, state statutes, and professional licensure and ethics standards.

B. Staff at each facility will follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions.

C. Staff will ensure that allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior. Staff will document all such referrals.

D. The Warden will ensure inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations are protected from retaliation by other inmates or staff.

1. Each facility will employ multiple protection measures; such as housing changes or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations.

2. For at least ninety (90) days following a report of sexual abuse, the facility staff will monitor the conduct and treatment of inmates or staff who reported the sexual abuse and of inmates who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff. The Warden will act promptly to remedy any such retaliation.

a. Items staff should monitor include any inmate disciplinary reports, housing, or program changes, or negative performance reviews or reassignments of staff. Staff shall continue such monitoring beyond ninety (90) days if the initial monitoring indicates a continuing need.
10. Response to Incidents:

A. Any incident of alleged sexual abuse/harassment involving inmates, or inmates and staff, will be reported to the DOC Administration in accordance with DOC policy 1.1.A.3 Reporting Information to DOC Administration.

   1. Any media inquiries regarding an alleged or verified inmate sexual abuse/harassment will be forwarded to the Communications & Information Manager.

   2. The Warden of each facility will assign a staff member who is responsible for the following:

      a. Ensuring all investigations is completed thoroughly and in a timely manner.

      b. All information relating to the investigation is accurately documented and recorded in a database.

   3. The Warden is responsible to ensure:

      a. That investigations are completed appropriately and in a timely manner.

      b. All tracking and logging of investigations is entered appropriately.

B. Inmates may not be placed in involuntary segregation (protective custody) based solely on their high risk of victimization unless a review of all available alternatives has determined there are no other options available.

   1. Following an investigation into an inmate’s allegation that he or she suffered sexual abuse/harassment in a facility, the inmate will be informed as to the results of the investigation.

   2. Inmates are subject to disciplinary sanctions pursuant to the disciplinary process, following an administrative finding that the inmate engaged in inmate to inmate sexual abuse or sexual harassment or following a criminal finding of guilt for inmate to inmate sexual abuse (See DOC policies 1.3.C.2 Inmate Discipline System).

C. SDCL § 24-1-26.1 Any person, employed by the state, or employed within any state prison or other detention facility, who knowingly engages in an act of sexual penetration with another person who is in detention and under the custodial, supervisory, or disciplinary authority of the person so engaging, is guilty of a Class 6 felony.

SDCL § 22-22-7.6 Any person employed at any jail or juvenile correctional facility, who knowingly engages in an act of sexual contact or sexual penetration with another person who is in detention and under the custodial, supervisory, or disciplinary authority of the person so engaging, and which act of sexual contact or sexual penetration does not otherwise constitute a felony pursuant to the provisions of chapter 22-22, is guilty of a Class 6 felony if the victim is an adult and a Class 4 felony is the victim is a juvenile. A juvenile correctional facility pursuant to this section is a juvenile detention facility as defined in subdivision 26-7A-1(16) or a juvenile facility operated by the DOC under SDCL § 1-15-1.4.

   1. Any staff member who engages in sexual contact with any inmate, or who has knowledge of sexual contact between a staff member and an inmate and does not report it or for violating agency sexual abuse or sexual harassment policies may be subject to disciplinary action, including termination of employment (See DOC policy 1.1.C.1 Code of Ethics).
D. Staff shall conduct a sexual incident review at the conclusion of all sexual incident investigations where an allegation of inmate sexual abuse concluded in a substantiated or unsubstantiated result.

1. The Warden of each DOC facility shall designate a Sexual Incident Review Team Leader.

2. The facility’s investigative office shall maintain documentation that agency investigators have concluded all sexual incident investigations regardless of the final determination of the investigation.
   a. Such review shall ordinarily occur within thirty (30) working days of the administrative conclusion of the investigation.
   b. The designated review team leader will receive a copy of all investigative forms and information from the facilities investigative office within three (3) working days from the conclusion of the investigation of a sexual incident report.
   c. The review team will include the facility PREA Coordinator or PREA Compliance Manager (Team Leader), Warden or his/her designee, facility security supervisors, facility investigators and a representative each from Mental Health and Health Services.

3. The review team shall complete the Sexual Incident Review (See Attachment 4). The review will consider the following items:
   a. Whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual incidents.
   b. Whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; or gang affiliation; or was motivated or otherwise caused by other group dynamics at the facility;
   c. Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse;
   d. Assess the adequacy of staffing levels in that area during different shifts;
   e. Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff; and
   f. Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to this policy and any recommendations for improvement and submit such report to the Warden and PREA Coordinator.

4. The Warden or his/her designee will implement the recommendations for improvement and/or document reasons for not implementing the recommendations.

11. Recording Incidents:

A. The DOC will collect accurate, uniform data for every allegation of a sexual incident at facilities under its direct control, using a standardized instrument and set of definitions.
1. Each facility investigative office or designated staff will enter all sexual incident investigations information on a database.

   a. The DOC will aggregate the incident-based sexual incident data at least annually.

   b. The incident-based data collected will include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice.

   c. The DOC will maintain, review, and collect data as needed from all available incident-based documents, including reports, investigation files, and sexual incident reviews.

   d. The DOC will obtain incident-based and aggregated data from every private facility with which it contracts for the confinement of its inmates.

   e. Upon request, the DOC will provide all such data from the previous calendar year to the Department of Justice no later than June 30.

B. The DOC will review data collected and aggregated in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by:

   1. Identifying problem areas;

   2. Taking corrective action on an ongoing basis; and

   3. Preparing an annual report of its findings and corrective actions for each facility, as well as the DOC as a whole.

      a. Such report shall include a comparison of the current year’s data and corrective actions with those from prior years and shall provide an assessment of the agency’s progress in addressing sexual abuse.

      b. The DOC’s report shall be approved by the Secretary of Corrections and made readily available to the public through its website or, if it does not have one, through other means.

      c. The DOC may redact specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility, but must indicate the nature of the material redacted.

C. The DOC will ensure data collected is securely retained.

   1. The DOC will make all aggregated sexual abuse data, from facilities under its direct control and private facilities with which it contracts, readily available to the public at least annually through its website.

   2. Before making aggregated sexual abuse data publicly available, the DOC will remove all personal identifiers.

   3. The DOC will maintain sexual incident data collected for at least ten (10) years after the date of the initial collection, unless Federal, State, or local law requires otherwise.
V Related Directives:

- DOC policy 1.1.A.3 -- Reporting Information to DOC Administration
- DOC policy 1.1.A.8 -- Adult and Juvenile Joint Transportation Procedures
- DOC policy 1.1.C.1 -- Code of Ethics
- DOC policy 1.1.C.3 -- Reporting Abuse or Neglect
- DOC policy 1.1.C.10 -- Harassment
- DOC policy 1.1.D.1 -- Staff Training Requirements
- DOC policy 1.1.D.3 -- Facility Access & ID Requirements
- DOC policy 1.1.E.6 -- Case Management
- DOC policy 1.3.C.2 -- Inmate Discipline System
- DOC policy 1.3.E.2 -- Administrative Remedy for Inmates
- DOC policy 1.4.A.2 -- Inmate Admission
- DOC policy 1.4.B.16 -- PREA Institutional Risk Screens
- DOC policy 1.5.D.4 -- Inmate Access to Telephones
- DOC Inmate Living Guide and Juvenile Inmate Living Guide

VI Revision Log:

- **July 2014:** New Policy.
- **April 2015:** Added 2 to Section 2 A.
- **June 2016:** Added definition of “Gender Identity, Gender Non-Conforming, Lesbian, Gay, Bisexual, Transgender, Intersex”. Deleted reference to STAR and to “Superintendent”. Deleted “for the juvenile facilities and one for the adult facilities” in Section 1 B. Deleted B. In making housing and programming assignments, staff will consider on a case-by-case basis, whether a placement would ensure the inmate’s health and safety and whether the placement may compromise the safety and security of the facility, its staff and other inmates” in Section 7. Deleted 1. Placement and programming assignments for each transgender or intersex inmate will be reassessed at least twice each year to review any threats to safety experienced by the inmate” in Section 7 B. Deleted 2. “A transgender or intersex inmate’s own views with respect to his or her own safety will be considered when making placement and programming assignments” in Section 7 b. Deleted “Each facility will document this process with the Individualized Gender Identity Determination form” (See Attachment 6) in Section 7 B. Added new B and D in Section 7. Deleted Attachment 3. STAR Sexual Abuse/Harassment Information. Deleted “Individualized Gender Identity Determination form” and Added new Attachment 5 “Inmate Gender Determination Request” Added Attachment 6 “Gender Non-conforming Committee” and Added Attachment 7 “Gender Non-Conforming Committee Response”.

| Denny Kaemingk (original signature on file) | 06/18/2016 |
| Denny Kaemingk, Secretary of Corrections | Date |
Attachment 1: Upgrades to Facilities and Technology

The *Upgrades to Facilities & Technology Form* is located on the State WAN. Click [here](#).
Attachment 2:  Acknowledgement of Prevention of Sexual Abuse/Harassment Information

The *Acknowledgement of Prevention of Sexual Abuse/Harassment Information* form is located on the State WAN.  Click [here](#).
Attachment 3: Sexual Incident Review Form

The *Sexual Incident Report Form* is located on the WAN. Click [here](#).

---

**Sexual Incident Review Form**

<table>
<thead>
<tr>
<th>Incident Date:</th>
<th>Review Date:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date and time</td>
<td>Date and time</td>
</tr>
</tbody>
</table>

**Offender/Victim:**

- Name and ID number

**Perpetrator:**

- Name and ID number

**Incident Location:**

- Location details

**Review Questions**

1. Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse.
   - Notes/Recommendation: Click here to enter text.

2. Consider whether the incident or allegation was motivated by race, ethnicity, gender identity, sexual orientation, gender expression, or intersectional identification status, or perceived status; or gang affiliation; or was motivated or otherwise caused by other group dynamics at the facility.
   - Notes/Recommendation: Click here to enter text.

3. Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse.
   - Notes/Recommendation: Click here to enter text.

4. Assess the adequacy of staffing levels in that area during different shifts.
   - Notes/Recommendation: Click here to enter text.

5. Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff.
   - Notes/Recommendation: Click here to enter text.

6. Other Considerations (list)
   - Notes/Recommendation: Click here to enter text.

---

**Review Team Leader:**

- Name and contact details

---

*Send completed form to Facility Warden/Superintendent and DOC/REA Coordinator within 10 working days.*
Attachment 4: Facility Staffing Plan Form

The Facility Staffing Plan Form is located on the WAN. Click here.
Attachment 5: Inmate Gender Determination Request

The Inmate Gender Determination Request is located on the WAN. Click here.
Attachment 6: Gender Non-conforming Committee

The Gender Non-conforming Committee is located on the WAN. Click here.

**Definitions**

- Gender Non-conforming: means an offender whose appearance or manner does not conform to traditional societal gender expectations.
- Intersex offender: means an offender who's sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female.
- Transgender offender: means an offender whose gender identity (i.e., internal sense of feeling male or female) is different from the person's assigned sex at birth.

**Purpose**

The purpose of the Gender Non-conforming Committee (GNC) is to determine a individualized, appropriate and safe housing placement for gender non-conforming offenders, intersex offenders, and transgender offenders.

The committee members will be a cross disciplinary group sharing their expertise to get an informed decision on the offender's needs. When determining an appropriate and safe housing placement the committee members will consider medical history, mental health status, inmate self-identification, the safety of the offender, other offenders and staff, past inmate behaviors, and any other relevant information. Each housing placement is on a case-by-case basis.

**Role and Responsibility of the GNC**

1. Respond to inmate Gender Determination Requests
2. Identify and collect all relevant information
3. Identify the needs of the gender non-conforming offender
4. Review the information and needs pertaining to placement
5. Identify housing placement options
6. Prepare a written recommendation to the Director of Prison Operations.

**Staffing of the GNC**

The Facility PREA Compliance Manager will organize and lead the meeting. Additional members of the GNC:

- Facility Warden or designee
- Director of Classification and Transfers
- Facility Correction Behavioral Health designee
- Facility Correctional Health designee
- PREA Coordinator
- Facility PREA Investigator
- Housing Unit Manager (Inmate current housing assignment)

**Meetings**

Will be scheduled at the inmate's facility on an as needed basis.

**Decisions**

The written recommendation to the Director of Prison Operations, the individualized Gender Identity Determination form (Director of Prison Operations response), and all relevant information will be recorded as one report. Original placed in inmate Unit file. Scanned copy to facilities PREA Compliance Manager.
Attachment 7: Gender Non-conforming Committee Response

The Gender Non-conforming Committee Response is located on the WAN. Click here.

![Gender Non-conforming Committee Response Form]

<table>
<thead>
<tr>
<th>OFFENDER INFORMATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name:</td>
</tr>
<tr>
<td>Number:</td>
</tr>
<tr>
<td>Current Housing Location:</td>
</tr>
<tr>
<td>Sex: □ Male □ Female</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>BACKGROUND INFORMATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>The Gender Non-conforming Committee has received the following information:</td>
</tr>
<tr>
<td>□ Offender's written request for gender determination</td>
</tr>
<tr>
<td>□ A Correctional Health Services assessment of the offender's gender issues</td>
</tr>
<tr>
<td>□ A Correctional Behavioral Health assessment of the offender's gender issues</td>
</tr>
<tr>
<td>□ Other department assessments (note in Comments box below)</td>
</tr>
<tr>
<td>Comments (attach additional pages if necessary)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>SUMMARY</th>
</tr>
</thead>
<tbody>
<tr>
<td>Summarize the issues considered (attach additional pages if necessary)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>RECOMMENDATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>The Gender Non-conforming Committee is □ Recommending □ NOT Recommending (Recommendations and)</td>
</tr>
<tr>
<td>The offender's request for a new gender determination</td>
</tr>
<tr>
<td>This would result in: □ Previous MALE offender - now referred to as FEMALE</td>
</tr>
<tr>
<td>□ Previous FEMALE offender - now referred to as MALE</td>
</tr>
<tr>
<td>The recommended housing placement would be: □ Continue in current housing facility</td>
</tr>
<tr>
<td>□ Transfer to new gender housing facility</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>FORM COMPLETED BY</th>
</tr>
</thead>
<tbody>
<tr>
<td>Facility Compliance Manager:</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>DIRECTOR OF PRISON OPERATIONS (OR DESIGNEE) RESPONSE</th>
</tr>
</thead>
<tbody>
<tr>
<td>The recommendation is □ Approved □ NOT Approved</td>
</tr>
<tr>
<td>Additional comments:</td>
</tr>
<tr>
<td>Director of Prison Operations (or designee): Date</td>
</tr>
</tbody>
</table>

Return signed form to Facility Compliance Manager. Facility Compliance Manager sends copies to all committee members.