1.4.B.16 PREA Institutional Risk Screens

I Policy Index:

II Policy:

The Department of Corrections (DOC) will utilize the PREA Inmate Admission Screen and the PREA Admission Review Screen to determine an inmate’s potential vulnerability to a sexual assault or risk of sexually abusive behavior.

III Definitions:

PREA:
The Prison Rape Elimination Act of 2003. A federal act addressing the prevention, detection and punishment of prison sexual abuse and harassment (See DOC policy 1.3.E. 5 Compliance with Prison Rape Elimination Act)

Direct Staff Supervision:
For the purpose of this policy, direct staff supervision is defined as staff being present in the same area as the inmate(s) and within reasonable hearing distance of the inmate(s).

Institution:
Any state or federal prison, county jail or juvenile detention facility.

Facility:
For the purpose of this policy, facility refers to inmate housing locations within the Department of Corrections (DOC). DOC facilities include:

- South Dakota State Penitentiary (including Jameson Prison Annex)
- Sioux Falls Community Work Center
- Mike Durfee State Prison
- Yankton Community Work Center
- Rapid City Community Work Center
- South Dakota Women’s Prison (including Unit E)
- Pierre Community Work Center
**PREA Risk Screen:**
An assessment (screen) based upon the Final Adult PREA National Standards. The goal of this screening is to aid in the determination of an inmate’s potential for sexually abusing others (aggressor) or being sexually abused by others (victim).

**IV Procedures:**

1. **PREA Risk Screens:**
   
   A. Inmates will receive the following PREA Risk Screens.
      
      1. Initial Risk Screen
      2. PREA Risk Screen
      3. Risk Screen Review

   B. The PREA Risk Screen is the same assessment for all three screens (See Attachment 1: PREA Risk Screen). The PREA screens will be administered by staff and the results shall be based upon the information available at the time the screen is administered.

2. **Initial PREA Risk Screen:**
   
   A. All inmates admitted to the DOC will have an Initial PREA Risk Screen completed within 72 hours of arrival at the facility by unit staff trained to administer the screen.
      
      1. An Initial PREA Risk Screen will be administered to inmates returning to the DOC as a parole violator, suspended sentence violator or with a new (or additional) sentence.
         
         a. Any previous PREA code assigned to the inmate may be updated following the Initial Risk Screen based on new or additional information.
      2. An Initial PREA Risk Screen will be completed on all inmates or parole detainees temporarily housed at a DOC facility longer than 72 hours.
         
         a. Detainees on the Community Transition Program (CTP) arriving at Admissions and Orientation (A&O) from another DOC housing unit will have their current PREA risk code reviewed according to Section 4 of this policy.

   B. The Initial PREA Risk Screen will include the inmate’s demographics, results of an NCIC background check and any documented/known institutional or parole/suspended sentence behavior(s).

   C. An inmate’s answers/responses to the Initial PREA Risk Screen are confidential and may not be used to the inmate’s detriment by staff or other inmates.
      
      1. Inmates may not be disciplined for failure to respond to, or provide complete answers to the screening questions.

   D. The screen will determine a PREA Risk Screen score. Inmates will be assigned a cell (housing assignment) based in part on their assigned initial PREA Risk Screen score.

   E. During the admission process, unit staff will present the inmate with information explaining the DOC’s zero-tolerance policy regarding sexual abuse and sexual harassment and how to report incidents or suspicions of sexual abuse or sexual harassment (See DOC policy 1.4.A.2 Inmate Admission).
1. Unit staff completing the Initial PREA Risk Screen will document the presentation of Prevention of Sexual Abuse/Harassment Information- part 1 on Attachment 4.

F. Medical / Mental Health referral.

1. If the intake screening indicates an inmate has previously perpetrated sexual abuse, whether this occurred in an institutional setting or in the community, staff shall ensure the inmate is offered a follow-up meeting with Sex Offender Management Program staff within 14 days of the intake screening.

2. If the intake screening indicates an inmate has experienced prior sexual victimization, whether this occurred in an institutional setting or in the community, staff shall ensure the inmate is offered a follow-up meeting with medical or mental health staff within 14 days of the intake screening.

3. PREA Risk Screen:

A. All inmates admitted to the DOC will have a PREA Risk Screen (See Attachment 1- PREA Risk Screen) administered by an A&O case manager trained to administer the screen within 30 days of their arrival at the facility.

1. The PREA Risk Screen will include the inmate’s demographics, results of a NCIC background, sentencing and PSI information (if available), classification and assessment information, any documented/known institutional behavior or other relevant information.

B. Newly received inmates may be held in Admissions & Orientation (A&O) Unit if further assessments are needed.

C. An inmate’s answers/responses to the PREA Risk Screen are confidential and may not be used to the inmate’s detriment by staff or other inmates,

1. Inmates may not be disciplined for failure to respond to, or give complete answers to the screening questions.

D. Within 30 days of intake, unit staff shall provide comprehensive education to inmates, either in person or through video, regarding their right to be free from sexual abuse/sexual harassment and from any retaliation for reporting such incidents.

1. A&O unit staff completing the PREA Risk Screen will document the presentation of the Prevention of Sexual Abuse/Harassment Information part 2 PREA Review information on Attachment 4 and in COMS/Assessment/PREA Admissions &Orientation class.

4. PREA Risk Screen Review:

A. A PREA Risk Screen Review (See Attachment 1 PREA Risk Screen) shall be completed on an inmate with an existing PREA Screen for the following reasons:

1. When an inmate transfers in from another facility (facility as defined in this policy).
   Exceptions to this rule include the following:
   a. When the transfers are from an Admissions and Orientation unit or status.
   b. When the transfers are temporary (less than 30 days), i.e. for medical procedures, hearings, etc.

   1) Extended temporary transfers shall have a PREA Risk Screen Review completed by the 30th day of their arrival at the new facility.

2. If there is a recorded incident of substantiated sexual abuse involving the inmate.
a. Following a conviction for an institutional rule violation or civil or criminal charge involving sexual abuse.

b. Following a report the inmate was the victim of sexual abuse.

3. If new, verifiable and documented information becomes available involving sexual abuse of the inmate.

B. A PREA Risk Screen Review can be initiated by staff to ensure the inmate’s safety from sexual abuse.

1. An inmate’s PREA Risk Screen score will be reviewed by unit staff at the inmate’s regularly scheduled classification hearing (See DOC policies 1.4.B.2 Male Inmate Classification or 1.4.B.14 Female Inmate Classification).

2. A PREA Risk Screen Review will be initiated by the Unit Case Manager if incidents/events have occurred since the last screen that is likely to change the inmate’s score. Some examples include:

   a. A new sentence. The case manager will review the PREA Screen when new sentence information becomes available.

   b. A special security investigation determines an inmate was either a victim or a perpetrator of sexual abuse. Staff with the Special Investigations Unit will notify the inmate’s case manager of this determination within 72 hours.

   c. Sex Offender Management Program (SOMP) staff determine an inmate has a sexual behavior issue (See DOC policy 1.4.B.9 Sexual Behavior Issues Review). SOMP will notify the inmate’s case manager of this determination within 72 hours.

   d. Mental Health staff determines the inmate has a COMS Mental Health Alert: No Move - Contact CBH code. Mental Health staff making the determination will notify the inmate’s case manager of this determination within 72 hours.

   e. A unit staff member believes an inmate appears to have been incorrectly assessed/incorrect PREA code. Any changes or updates must be accompanied with verifiable and documented evidence.

3. The reason for a PREA Risk Screen Review will be documented in COMS PREA Risk Screen Comments section.

4. When scoring the Risk Screen on COMS and scoring a PREA RISK screen item as a “YES” it is mandatory that the assessor put comments in the Question Comments Field of COMS documenting why an Item was scored YES.

C. A PREA Risk Screen Review shall be completed within five days of the inmate’s unit staff being made aware of any of the above criteria being met.

D. The PREA Inmate Admission & Review Screen Scoring Guide (see Attachment 3: PREA Inmate Admission & Review Screen Scoring) shall be used as a reference in completing the PREA Risk Screen Review.

5. PREA Screen Categories:

   A. The PREA screens distributes inmates into four (4) institutional categories (See Attachment 3) with designations of:
1. VP (Victim Potential): Inmates designated by the PREA screen as having characteristics of an inmate with a higher than normal likelihood to be sexually assaulted inside an institution.

2. AP (Aggressor Potential): Inmates designated by the PREA screen as having characteristics of an inmate with a higher than normal likelihood to be sexually aggressive towards other inmates inside an institution.

3. MX (Mixed): Inmates designated by the PREA screen as having both an aggressor potential (AP) and a victim potential (VP).

4. NS (Not Scored): Inmates that did not fit into the criteria in the PREA Screen Score to be designated as a VP, AP or MX.

6. Utilization of the PREA Risk Screen score:

A. The PREA Risk Screen score will be utilized for assigning cells, rooms and beds for inmates at all adult DOC facilities.

1. In barracks’ housing, the PREA Risk Screen score will be taken into consideration to keep VP, MX, and AP designated inmates as separated as possible.

   **Note:** If the PREA Risk Screen score can be accommodated consistent with the custody classification and AIMS code, then it should be used in these assignments.

B. An inmates’ PREA Risk Screen category cannot be used to override his/her custody classification or AIMS classification (male inmates only—See DOC policy 1.4.B.3 Adult Internal Management System (AIMS)).

C. An inmate’s rights, privileges or custody levels may not be forfeited, changed, determined or affected on the basis of an inmate’s assigned PREA Screen category.

D. The PREA Risk Screen score will be taken into consideration when determining housing, cell, work, education, and program assignments for inmates with a high risk of being sexually victimized by those inmates determined to be a high risk of being sexually abusive.

E. An inmate with a history of sexually assaultive behavior may be referred for an Administrative Segregation hearing (See DOC policy 1.3.D.4 Administrative Segregation).

7. Cell/Room Assignment Based on PREA Risk Screen score:

A. PREA category impact on a cell/room assignment:

1. Inmates in the AP category can be housed with an AP or NS category inmate.
2. Inmates in the VP category can be housed with a VP or NS category inmate.
3. Inmates in the NS category can be housed with any category inmate.
4. Inmates in the MX category can be housed with a MX or NS category inmate.

B. Inmates with an AP code may not be housed with inmates with a VP or MX code.

8. Programming / Education / Work Assignments Based on PREA Risk Screen score:

A. Screening information shall be used in informing an inmate’s programming, education or work assignments with the goal of keeping separate those inmates at high risk of being sexually victimized
from those at high risk of being sexually abusive or providing appropriate supervision to prevent instances of abuse. Work supervisors and those providing education and programming to groups of inmates are responsible for knowing the identity of assigned inmates assessed with victim potential and aggressor potential and providing necessary supervision to protect inmates from sexual abuse.

1. Inmates with a VP category may be placed in a program, education or work assignment with an AP of MX provided there is direct staff supervision.

2. Inmates with a MX category may be placed in a program, education or work assignment with an AP or another MX provided there is direct staff supervision.

3. Inmates with a NS category may be placed in a program, education or work assignment with an AP, VP, MX, or another NS without direct staff supervision.

Related Directives:

DOC policy 1.3.E. 5 -- Compliance with Prison Rape Elimination Act  
DOC policy 1.3.D.4 -- Administrative Segregation  
DOC policy 1.4.A.2 -- Inmate Admission  
DOC policy 1.4.B.2 – Male Inmate Classification  
DOC policy 1.4.B.3 – Adult Internal Management System (AIMS)  
DOC policy 1.4.B.9 – Sexual Behavior Issues Review

VI Revision Log:

November 2013: New policy.  
April 2015: Deleted “Admissions” from Section 2. Added "or providing appropriate supervision to prevent instances of abuse. Work supervisors and those providing education and programming to groups of inmates are responsible for knowing the identity of assigned inmates assessed with victim potential and aggressor potential and providing necessary supervision to protect inmates from sexual abuse." to Section 8 A.

May 2016: Deleted language in 1. and Replaced with 1. a. and b. in Section 4. A. Added "substantiated" in Section 4 A. 2. Added “and documented” in Section 4 A. 3. Deleted “SMI or SN Mental Health code” and Replaced with “COMS Mental Health Alert-No Move-Contact CBH code” in Section 4 B. 2. d. Added “Any changes or updates must be accompanied with verifiable and documented evidence” in Section 4 B. 2. e. Added 3 and 4 in Section 4 B. Added D. to Section 4.  
Revisions to Attachment 3.

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<td>06/06/2016</td>
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Revised: 05/22/2016
Attachment 1: PREA Risk Screen

The **PREA Risk Screen** form is located on COMS under:

**Institutional Management: > Case Management > Assessments**

Under **Offender Assessments**

- Select **PREA Risk Screen** (fill in)

![PREA Risk Screen Image]

- Select **Questionnaire** (complete 4 Potential Aggressor Questions)

![Questionnaire Image]
- Continue **Questionnaire** (complete 10 Potential Victim Questions)

- Continue **Questionnaire** (complete Aggressor/Victim Outcomes)
Attachment 2: PREA Risk Screen

The PREA Risk Screen form is located on the state’s WAN.

A copy may be printed using Microsoft Word as follows:

1. Click here to access the PREA Risk Screen by:
   a. Placing mouse on the word “here” above
   b. Press and hold the “Ctrl” key on the keyboard
   c. Click the left button of mouse.

2. Or Select File/New from the Menu Bar / Select the DOC tab / Select PREA Risk Screen.
Attachment 3: PREA Inmate Admission & Review Screen Scoring

SOUTH DAKOTA DEPARTMENT OF CORRECTIONS
PREA RISK SCREEN SCORING GUIDE

Three types of PREA Risk Screens

Initial (72 HR) PREA Risk Screen: All inmates newly admitted to the DOC will have an Initial PREA Risk Screen completed by trained facility Unit Staff within 72 hours of their arrival at the facility.

Admissions (30 Day) Risk Screen: All inmates newly admitted to the DOC will have an Admissions PREA Risk Screen completed by trained A&O Case Manager within 30 days of their arrival at the facility.

PREA Risk Screen Review: Reassess warranted due to a facility transfer, incident of sexual abuse, or receipt of additional information that bears on the inmate’s risk of sexual victimization or abusiveness.

POTENTIAL AGGRESSOR:

1. Institutional incidents of sexually abusing other offenders

   Review NCIC, Mainframe for prior PREA Codes, and any institutional (DOC or jail) reports. File information when available.
   Ask inmate in interview. If reported as Yes, notify SOMP for follow up interview. HS/MH Review
   Initial or Admissions Interview question: Have you ever been involved in an incident where you sexually abused other inmates?
   For Reviews there has to be documented reports or other evidence. Revised inmate statements cannot be changed later unless there is documented evidence from an accredited source.

2. Offender has prior acts of violent sexual abuse (non-institutional)

   Review NCIC, File information when available. Include offenses that were originally charges violent sex offense and plea bargained down at court* (refer to SOMP). Ask inmate in interview. If reported as Yes, notify SOMP for follow up interview.
   *Note: Only count sexual charges that plea bargained down to a lesser charge - do not count if the charge was dismissed or not prosecuted.
   Initial or Admissions Interview question: Have you ever been involved in an incident where you sexually abused other people outside of prison?
   For Reviews there has to be documented reports or other evidence. Revised inmate statements cannot be changed later unless there is documented evidence from an accredited source.

3. Offender has prior felony convictions for violent offenses

   Review NCIC, Mainframe for prior felony incarcerations, use DOC Crime Codes & Classification for determination of violent offenses.
   Note: Count prior violent felony convictions only - do not count current charge or any misdemeanor offenses.

4. Offender has violence within institutional setting or jails

   Include any institutional (DOC or jail) reports.
   Initial or Admissions: If information not available yet, ask inmate.
   For Reviews there has to be documented reports or other evidence.

POTENTIAL VICTIM:

1. Presents or identities a mental, physical, or developmental disability
Check the Alert Status in COMS for the Mental Health code of "No Move - Contact CBH - Support Living Environment - NOMOVE", and interview staff can make referral to Mental Health based upon observation. Mental Health will make the final determination in writing. Health Services will make the determination if a person has a physical or developmental disability.

2. History of prior sexual victimization (institutional)
Check mainframe for prior PREA Codes. File information when available. Include all detention facilities. Ask inmate in interview. If reported as Yes, notify Mental Health for follow up interview.

Initial or Admissions Interview question: Have you ever been a victim of sexual abuse in prison or jail?
Note: Inmate admissions to sexual abuse victimization during the Initial or Admissions interview cannot be changed later unless there is documented evidence supporting the change.

For Reviews there has to be documented reports or other evidence. Revised inmate statements cannot be changed later unless there is documented evidence from an accredited source.

3. History of prior sexual victimization (non-institutional)
Review NCIC, Mainframe for prior incarcerations, use DOC Crime Codes & Classification for determination of violent offenses. Accredited sources can also be used. Examples of accredited sources: PSI, Treatment Needs Assessments, CD & SOMP Assessments, and/or psychosexual evaluations.

Initial or Admissions Interview question: Have you ever been a victim of sexual abuse in the community?
Note: Inmate admissions to sexual abuse victimization during the Initial or Admissions interview cannot be changed later unless there is documented evidence supporting the change.

For Reviews there has to be documented reports or other evidence. Revised inmate statements cannot be changed later unless there is documented evidence from an accredited source.

4. Inmate is less than 21 years old or over 65 years old
Check incoming or prior records on COMS. If information is not available yet, ask inmate.

5. Offender is of slight physical stature: Male: less than 5’6” and/or less than 140 lbs / Female: less than 5’ and/or less than 100 lbs
Check incoming or prior records on COMS. If information is not available yet, ask inmate.
Note: Inmate needs to meet only one of these criteria; the inmate does not have to meet both.

6. Offenders first time incarcerated in prison.
Review NCIC. File information when available.
Initial or Admissions interview question: If information is not available yet, ask inmate.
For Reviews there has to be documented reports or other evidence.
Note: In a state or Federal Prison only. This is only scored on offender’s first admission to facility.
Example: A first time offender who has been released to the community and is returning from parole would not score.

7. Criminal History is exclusively non-violent
Review NCIC – include all (felony & misd.) convictions. File information when available. Use DOC Crime Codes & Classification for determination of violent offenses. If information is not available yet, ask inmate.
Note: All convictions - includes past and current.

8. Inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming
File information when available. Ask inmate in interview. Staff perception is based upon the interview with inmate and staff observation.
Must Ask Interview question: Are you gay/lesbian, bisexual, transgender, intersex or gender non-conforming?

9. Offender has current or prior convictions for sex offenses against a child or adult
If available review NCIC – include all (felony & misd.) convictions.

**At Initial or Admissions:** If information not available yet, ask inmate.

**Note:** Inmate admissions to current or prior sex offences during the Initial or Admissions interview cannot be changed later unless there is documented evidence supporting the change.

**For Reviews** there has to be documented reports or other evidence. Revised inmate statements cannot be changed later unless there is documented evidence from an accredited source.

10. **Offender believes he/she is vulnerable to being sexually victimized in the institution**

   This is only asked on the Initial or Admissions interview. The only time this will be asked in a Review is if the inmate is self-identifying as a transgender. Otherwise it will not be asked in a review situation.

   **Interview question:** Do you believe yourself to be vulnerable to being sexually abused in prison?

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**NOTES:** When scoring the Risk Screen on COMS and scoring a PREA RISK screen item as a “YES” it is mandatory that the assessor put comments in the Question Comments Field of COMS documenting why an Item was scored YES.

COMS screenshot example

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**Attachment 4: PREA Acknowledgement of Prevention of Sexual Abuse/Harassment Information**

The **PREA Acknowledgement of Prevention of Sexual Abuse/Harassment Information** form is located on the state’s WAN.

A copy may be printed using **Microsoft Word** as follows:
1. Click **here** to access the *PREA Acknowledgement of Prevention of Sexual Abuse/Harassment Information* by:
   a. Placing mouse on the word “here” above
   b. Press and hold the “Ctrl” key on the keyboard
   c. Click the left button of mouse.

2. Or Select **File/New** from the Menu Bar / Select the **DOC** tab / Select *PREA Acknowledgement of Prevention of Sexual Abuse/Harassment Information*

   ![PREA Acknowledgement of Prevention of Sexual Abuse/Harassment Information](image)

   **Acknowledgement of Prevention of Sexual Abuse/Harassment Information**

   **Initial PREA Risk Information:** To be completed with the inmate’s initial PREA Risk Screen (72 hrs) and initial briefing on PREA.
   - I acknowledge receiving information on the prevention of sexual abuse/harassment and the Department of Corrections policy on Zero Tolerance of sexual abuse/harassment.

   **INMATE** | **NUMBER** | **DATE**
   --- | --- | ---
   **STAFF** | **NUMBER** | **DATE**

   **Admissions PREA Risk Information:** To be completed after the inmate has attended the PREA A&O Class and has had the Admissions PREA Risk Screen.
   - I acknowledge receiving information on my rights to be free from sexual abuse/sexual harassment and to be free from retaliation for reporting such incidents (PREA A&O Class)
   - I acknowledge reviewing information on the prevention of sexual abuse/harassment and the Department of Corrections policy on Zero Tolerance of sexual abuse/harassment.

   **INMATE** | **NUMBER** | **DATE**
   --- | --- | ---
   **STAFF** | **NUMBER** | **DATE**